A UNIFORMLY ACCURATE MULTISCALE TIME INTEGRATOR PSEUDOSPECTRAL METHOD FOR THE DIRAC EQUATION IN THE NONRELATIVISTIC LIMIT REGIME*

WEIZHU BAO†, YONGYONG CAI‡, XIAOWEI JIA§, AND QINGLIN TANG¶

Abstract. We propose and rigorously analyze a multiscale time integrator Fourier pseudospectral (MTI-FP) method for the (linear) Dirac equation with a dimensionless parameter $\varepsilon \in (0, 1]$ which is inversely proportional to the speed of light. In the nonrelativistic limit regime, i.e., $0 < \varepsilon \ll 1$, the solution exhibits highly oscillatory propagating waves with wavelength $O(\varepsilon^2)$ and $O(1)$ in time and space, respectively. Due to the rapid temporal oscillation, designing and analyzing numerical methods with uniform error bounds in $\varepsilon \in (0, 1]$ is quite challenging. We present the MTI-FP method based on properly adopting a multiscale decomposition of the solution of the Dirac equation and applying the exponential wave integrator with appropriate numerical quadratures. By a careful study of the error propagation and using the energy method, we establish two independent error estimates via two different mathematical approaches as $h^{m_0} + \frac{\tau^2}{\varepsilon^2}$ and $h^{m_0} + \tau^2 + \varepsilon^2$, where h is the mesh size, τ is the time step, and m_0 depends on the regularity of the solution. These two error bounds immediately imply that the MTI-FP method converges uniformly and optimally in space with exponential convergence rate if the solution is smooth, and uniformly in time with linear convergence rate at $O(\tau)$ for all $\varepsilon \in (0, 1]$ and optimally with quadratic convergence rate at $O(\tau^2)$ in the regimes when either $\varepsilon = O(1)$ or $0 < \varepsilon \lesssim \tau$. Numerical results are reported to demonstrate that our error estimates are optimal and sharp. Finally, the MTI-FP method is applied to study numerically the convergence rates of the solution of the Dirac equation to those of its limiting models when $\varepsilon \to 0^+$.

Key words. Dirac equation, nonrelativistic limit regime, uniformly accurate, multiscale time integrator, exponential wave integrator, spectral method, error bound

AMS subject classifications. 35Q41, 65M70, 65N35, 81Q05

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1. Introduction. Quantum mechanics and relativity theory were the two major physics discoveries in the last century. The first successful attempt to consistently integrate these two fundamental theories was made by the British physicist Dirac in 1928 [16, 17], resulting in the equation known as the Dirac equation. It describes the evolution of spin-1/2 massive particles, such as electrons and quarks. It is a relativistic version of the Schrödinger equation for quantum mechanics, consistent with Einstein's special relativity. Dirac's theory led to the rigorous explanation for the fine structure of the hydrogen spectrum and the prediction of antimatter [3], and predated the experimental discovery of the positron. Under different parameter limits, the Dirac equation collapses to the Pauli equation, the Schrödinger equation, and the Weyl

†Department of Mathematics, National University of Singapore, Singapore 119076 (matbaowz@nus.edu.sg, http://www.math.nus.edu.sg/~bao/).

‡Beijing Computational Science Research Center, Beijing 100094, China, and Department of Mathematics, Purdue University, West Lafayette, IN 47907 (yongyong.cai@gmail.com). The research of this author was supported by NSF grants DMS-1217066 and DMS-1419053.

§Department of Mathematics, National University of Singapore, Singapore 119076, Singapore (A0068124@nus.edu.sg).

¶Institut Elie Cartan de Lorraine, Université de Lorraine, Inria Nancy-Grand Est, F-54506 Vandoeuvre-lès-Nancy Cedex, France (tqltql2010@gmail.com). The research of this author was supported by the ANR project BECASIM ANR-12-MONU-0007-02.

equation, respectively. Since the first experimental realization of graphene in 2003 [1, 35], much attention has been drawn to the study of the structures and/or dynamical properties of graphene and graphite as well as two dimensional (2D) materials [34], in which the Dirac equation plays an important role. This remarkable experimental advance renewed interest in the theoretical analysis and numerical simulation of the Dirac equation and/or the (nonlinear) Schrödinger equation without/with external potentials, especially the honeycomb lattice potential [2, 20, 21].

After proper nondimensionlization and dimension reduction, the (linear) Dirac equation for the spin-1/2 particles with external electromagnetic potential in d ($d = 3, 2, 1$) dimensions reads as [3, 7, 13, 16, 17, 29, 36, 37]

(1.1)
$$i\partial_t \Psi(t, \mathbf{x}) = \left[-\frac{i}{\varepsilon} \sum_{j=1}^d \alpha_j \partial_j + \frac{1}{\varepsilon^2} \beta + V(t, \mathbf{x}) I_4 - \sum_{j=1}^d A_j(t, \mathbf{x}) \alpha_j \right] \Psi(t, \mathbf{x}), \quad \mathbf{x} \in \mathbb{R}^d,$$

with the initial data

(1.2)
$$\Psi(t = 0, \mathbf{x}) = \Psi_0(\mathbf{x}), \qquad \mathbf{x} \in \mathbb{R}^d,$$

where $i = \sqrt{-1}$, t is time, $\mathbf{x} = (x_1, \ldots, x_d)^T \in \mathbb{R}^d$ is the spatial coordinate vector, $\partial_k = \frac{\partial}{\partial x_k}$ ($k = 1, \ldots, d$), $\Psi := \Psi(t, \mathbf{x}) = (\psi_1(t, \mathbf{x}), \psi_2(t, \mathbf{x}), \psi_3(t, \mathbf{x}), \psi_4(t, \mathbf{x}))^T \in \mathbb{C}^4$ is the complex-valued vector wave function of the "spinorfield," $\varepsilon \in (0, 1]$ is a dimensionless parameter inversely proportional to the speed of light. I_n is the $n \times n$ identity matrix for $n \in \mathbb{N}$, $V := V(t, \mathbf{x})$ is the real-valued electrical potential, and $\mathbf{A} := \mathbf{A}(t, \mathbf{x}) = (A_1(t, \mathbf{x}), \ldots A_d(t, \mathbf{x}))^T$ is the real-valued magnetic potential vector. In addition, the 4×4 matrices α_1, α_2, α_3, and β are defined as

(1.3)
$$\alpha_1 = \begin{pmatrix} \mathbf{0} & \sigma_1 \\ \sigma_1 & \mathbf{0} \end{pmatrix}, \qquad \alpha_2 = \begin{pmatrix} \mathbf{0} & \sigma_2 \\ \sigma_2 & \mathbf{0} \end{pmatrix},$$
$$\alpha_3 = \begin{pmatrix} \mathbf{0} & \sigma_3 \\ \sigma_3 & \mathbf{0} \end{pmatrix}, \qquad \beta = \begin{pmatrix} I_2 & \mathbf{0} \\ \mathbf{0} & -I_2 \end{pmatrix},$$

where σ_1, σ_2, σ_3 are the Pauli matrices given by

(1.4)
$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \qquad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \qquad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

The Dirac equation (1.1) with (1.2) conserves the total mass

(1.5)
$$\|\Psi(t, \cdot)\|^2 := \int_{\mathbb{R}^d} |\Psi(t, \mathbf{x})|^2 \, d\mathbf{x}$$
$$= \int_{\mathbb{R}^d} \sum_{j=1}^4 |\psi_j(t, \mathbf{x})|^2 \, d\mathbf{x} \equiv \|\Psi(0, \cdot)\|^2 = \|\Psi_0\|^2, \qquad t \geq 0.$$

In addition, if the external electromagnetic potentials are time independent, i.e., $V(t, \mathbf{x}) = V(\mathbf{x})$ and $A_j(t, \mathbf{x}) = A_j(\mathbf{x})$ ($1 \leq j \leq d$), the Dirac equation (1.1) with (1.2) conserves the energy

(1.6)
$$E(t) := \int_{\mathbb{R}^d} \left(-\frac{i}{\varepsilon} \sum_{j=1}^d \Psi^* \alpha_j \partial_j \Psi + \frac{1}{\varepsilon^2} \Psi^* \beta \Psi \right.$$
$$\left. + V(\mathbf{x}) |\Psi|^2 - \sum_{j=1}^d A_j(\mathbf{x}) \Psi^* \alpha_j \Psi \right) d\mathbf{x} \equiv E(0), \quad t \geq 0,$$

where $\Psi^* = \overline{\Psi^T}$ denotes the conjugate transpose of Ψ. In the nonrelativistic limit regime, i.e., $0 < \varepsilon \ll 1$, as proven in [12, 15, 29, 31, 32, 33, 37, 40], the solution Ψ of the Dirac equation (1.1) can be split into the electron part and the positron part, i.e.,

$$(1.7) \qquad \Psi = e^{-it/\varepsilon^2} \begin{pmatrix} \varphi_1 \\ \varphi_2 \\ 0 \\ 0 \end{pmatrix} + e^{it/\varepsilon^2} \begin{pmatrix} 0 \\ 0 \\ \varphi_3 \\ \varphi_4 \end{pmatrix} + O(\varepsilon) = e^{-it/\varepsilon^2}\Phi_e + e^{it/\varepsilon^2}\Phi_p + O(\varepsilon),$$

where both the "electron component" Φ_e and "positron component" Φ_p satisfy the (different) Schrödinger equation [12, 37]. In addition, a higher order $O(\varepsilon^2)$ approximate model of the Pauli-type equation was provided in [31, 32]. For details of the nonrelativistic limit of the Dirac equation (1.1), we refer the readers to [12, 31, 32, 37] and references therein.

   In practice, for lower dimensions $d = 1, 2$, the Dirac equation (1.1) can be split into two equivalent sets of decoupled equations with two components each [7] and thus can be reduced to the following equation for $\Phi := \Phi(t, \mathbf{x}) = (\phi_1(t,\mathbf{x}), \phi_2(t,\mathbf{x}))^T \in \mathbb{C}^2$ as
(1.8)

$$i\partial_t \Phi(t,\mathbf{x}) = \left[-\frac{i}{\varepsilon} \sum_{j=1}^d \sigma_j \partial_j + \frac{1}{\varepsilon^2}\sigma_3 + V(t,\mathbf{x})I_2 - \sum_{j=1}^d A_j(t,\mathbf{x})\sigma_j \right] \Phi(t,\mathbf{x}), \quad \mathbf{x} \in \mathbb{R}^d,$$

with the initial data

$$(1.9) \qquad \Phi(t = 0, \mathbf{x}) = \Phi_0(\mathbf{x}), \qquad \mathbf{x} \in \mathbb{R}^d, \qquad d = 1, 2,$$

where $\Phi = (\psi_1, \psi_4)^T$ (or $\Phi = (\psi_2, \psi_3)^T$ in one dimension (1D) and under the transformation $x_2 \to -x_2$ and $A_2 \to -A_2$ in 2D). As a result of its simplicity compared to (1.1), the Dirac equation (1.8) has been widely used when considering the 1 dimensional and 2 dimensional cases [7, 39, 42].

   Similarly, the Dirac equation (1.8) with (1.9) conserves the total mass
(1.10)

$$\|\Phi(t, \cdot)\|^2 := \int_{\mathbb{R}^d} |\Phi(t,\mathbf{x})|^2 \, d\mathbf{x} = \int_{\mathbb{R}^d} \sum_{j=1}^2 |\phi_j(t,\mathbf{x})|^2 \, d\mathbf{x} \equiv \|\Phi(0,\cdot)\|^2 = \|\Phi_0\|^2, \qquad t \ge 0.$$

Furthermore, if the external electromagnetic potentials are time independent, i.e., $V(t,\mathbf{x}) = V(\mathbf{x})$ and $A_j(t,\mathbf{x}) = A_j(\mathbf{x})$ $(1 \le j \le d)$, the Dirac equation (1.8) with (1.9) conserves the energy

$$E(t) := \int_{\mathbb{R}^d} \left(-\frac{i}{\varepsilon} \sum_{j=1}^d \Phi^* \sigma_j \partial_j \Phi + \frac{1}{\varepsilon^2} \Phi^* \sigma_3 \Phi \right.$$

$$(1.11)$$

$$\left. + V(\mathbf{x})|\Phi|^2 - \sum_{j=1}^d A_j(\mathbf{x})\Phi^*\sigma_j\Phi \right) d\mathbf{x} \equiv E(0), \ t \ge 0.$$

For the Dirac equation (1.8), one can obtain the nonrelativistic limit which is similar to (1.7) and the detail is omitted here for brevity [12, 31, 32, 37].

   There have been extensive theoretical and numerical results for the Dirac equation (1.1) (or (1.8)) in the literature. Along the analytical front, time independent states

and dynamical properties have been thoroughly investigated, such as the bound states [18], semiclassical limit [23], and nonrelativistic limit [12, 33, 37], etc. Along the numerical front, various finite difference time domain (FDTD) methods [4, 24, 25, 39, 42], the time-splitting Fourier pseudospectral (TSFP) method, [11, 28] and the Gaussian beam method [41] have been proposed to solve the Dirac equation (1.1) (or (1.8)). However, most existing numerical methods are designed for the efficient and accurate simulation of the Dirac equation (1.1) (or (1.8)) in the parameter regime $\varepsilon = O(1)$. In fact, for the Dirac equation in the nonrelativistic limit regime, i.e., $0 < \varepsilon \ll 1$, based on the theoretical analysis [12, 15, 29, 31, 32, 33, 37, 40], the solution exhibits propagating waves with wavelength $O(\varepsilon^2)$ and $O(1)$ in time and space, respectively. This rapid oscillation in time brings significant difficulties in designing and analyzing numerical methods for the Dirac equation (1.1) (or (1.8)) when $0 < \varepsilon \ll 1$. Recently, we have rigorously analyzed and compared the frequently used FDTD methods and TSFP method for the Dirac equation in the nonrelativistic limit regime [7] and shown that the meshing strategy (or ε-resolution) for the FDTD methods and TSFP method should be $h = O(\sqrt{\varepsilon})$, $\tau = O(\varepsilon^3)$ and $h = O(1)$, $\tau = O(\varepsilon^2)$, respectively, where h is the mesh size and τ is the time step. Thus, the existing FDTD and TSFP methods are capable of solving the Dirac equation (1.1) (or (1.8)) efficiently and accurately in the regime $\varepsilon = O(1)$, and are much less efficient and more time consuming in the nonrelativistic limit regime $0 < \varepsilon \ll 1$.

The main aim of this paper is to design and analyze an efficient and accurate numerical method for the Dirac equation (1.1) (or (1.8)) which is uniformly accurate for $\varepsilon \in (0,1]$. The key ingredients include adopting a multiscale decomposition of the solution of the Dirac equation [30] at each time interval with proper transmission conditions at different time intervals and applying the exponential wave integrator (EWI) with appropriate numerical quadratures which have been widely explored in solving highly oscillatory ordinary differential equations [22, 26, 27] and dispersive partial differential equations [6, 9, 10]. Then by a careful study of the error propagation and using the energy method, we establish two independent error estimates via two different mathematical approaches for the multiscale time integrator Fourier pseudospectral (MTI-FP) method, $h^{m_0} + \frac{\tau^2}{\varepsilon^2}$ and $h^{m_0} + \tau^2 + \varepsilon^2$ with m_0 depending on the regularity of the solution. Thus the MTI-FP method converges uniformly in space and time with respect to $0 < \varepsilon \le 1$. We remark here that a similar MTI-FP method has been recently designed and analyzed for the nonlinear Klein–Gordon equation in the nonrelativistic limit regime [8].

The rest of the paper is organized as follows. In section 2, we introduce a multiscale decomposition for the Dirac equation (1.8) and design the MTI-FP method. In section 3, we rigorously establish error estimates for the MTI-FP method. Section 4 is devoted to the numerical results of the MTI-FP method and convergence rates of the solution of the Dirac equation to its limiting models in the nonrelativistic limit regime. Finally, some conclusions are drawn in section 5. Throughout the paper, we adopt standard notations of Sobolev spaces and their norms, and use the notation $p \lesssim q$ to represent that there exists a generic constant $C > 0$, which is independent of time step τ, mesh size h, and ε, such that $|p| \le Cq$.

2. The MTI-FP method. For simplicity of notations, we shall only present our method and analysis for the Dirac equation (1.8) in 1D, while all the notations and results can be easily generalized to (1.8) in higher dimensions (2D) and (1.1) without any extra work. Denote

$$(2.1) \qquad \mathcal{T} = -i\varepsilon\sigma_1\partial_x + \sigma_3, \quad W(t,x) = V(t,x)I_2 - A_1(t,x)\sigma_1, \quad x \in \mathbb{R},$$

where the domain of the operator $\mathcal{T}$ is $(H^1(\mathbb{R}))^2$, and then the Dirac equation (1.8) in 1D can be written as

$$i\partial_t \Phi(t,x) = \frac{1}{\varepsilon^2}\mathcal{T}\Phi(t,x) + W(t,x)\Phi(t,x). \tag{2.2}$$

We note that $\mathcal{T}$ is diagonalizable in the phase space (Fourier domain) and can be decomposed as

$$\mathcal{T} = \sqrt{I - \varepsilon^2\Delta}\,\Pi_+ - \sqrt{I - \varepsilon^2\Delta}\,\Pi_-, \tag{2.3}$$

where $\Delta = \partial_{xx}$ is the Laplace operator in 1D and I is the identity operator, Π_+ and Π_- are projectors defined as

$$\Pi_+ = \frac{1}{2}\left[I_2 + \left(I - \varepsilon^2\Delta\right)^{-1/2}\mathcal{T}\right], \quad \Pi_- = \frac{1}{2}\left[I_2 - \left(I - \varepsilon^2\Delta\right)^{-1/2}\mathcal{T}\right]. \tag{2.4}$$

Here $\sqrt{I - \varepsilon^2\Delta}$ is understood in the Fourier space by the symbol $\sqrt{1 + \varepsilon^2\xi^2}$ ($\xi \in \mathbb{R}$) with domain $H^1(\mathbb{R})$. When Δ, I, and $\sqrt{I - \varepsilon^2\Delta}$ act on vector function $\Phi = (\phi_1, \phi_2)^T$, we mean that Δ, I, and $\sqrt{I - \varepsilon^2\Delta}$ act on two components ϕ_1 and ϕ_2. It is easy to verify that $\Pi_+ + \Pi_- = I_2$ and $\Pi_+\Pi_- = \Pi_-\Pi_+ = \mathbf{0}$, $\Pi_\pm^2 = \Pi_\pm$. In addition, $\mathcal{T}$ and $\Pi_\pm$ can be easily calculated in the Fourier domain.

As will be shown in the subsequent discussion, this decomposition of $\mathcal{T}$ is the key step for designing the uniformly accurate numerical scheme. The idea is to decouple the solutions into the eigenspaces of $\mathcal{T}$ in phase space by applying the projectors $\Pi_\pm$, and deal with the projections separately. It is worth noticing that the above formulation is in the whole space, while it is necessary to truncate the problem onto a bounded domain in computation. Thanks to the Fourier series, the operator $\mathcal{T}$ and its associated eigenvalue decomposition $\Pi_\pm$ can be explicitly computed in the phase space for the bounded domain case (see (2.21), (2.22)), which is crucial for the success of our method introduced below.

2.1. Multiscale decomposition. In order to design a uniformly accurate numerical method for the Dirac equation (1.8) (or (1.1)), from the experience in designing uniformly accurate methods for the nonlinear Klein–Gordon equation in the nonrelativistic limit regime [8, 14, 19], recalling that there exist propagating waves with $O(\varepsilon^2)$ wavelength in time, a multiscale decomposition should possess $O(\varepsilon^2)$ accuracy, so that the first order time derivative of the residue is bounded and a uniformly accurate scheme can be obtained. Thus, the first order Schrödinger decomposition (1.7) is inappropriate, and the second order Pauli-type decomposition (see [12, 31, 33]) might work. However, due to the linearity of the Dirac equation (1.8) (or (1.1)), we have a direct and better decomposition by applying the projectors $\Pi_\pm$ to the Dirac equation (1.8) [12].

Choose the time step $\tau := \Delta t > 0$ and denote time steps as $t_n := n\tau$ for $n \geq 0$. From $t = t_n$ to t_{n+1}, the solution $\Phi(t,x) = \Phi(t_n + s, x)$ (denote $\Phi^n(x) = \Phi(t_n, x)$) to the Dirac equation (1.8) can be decomposed as

$$\begin{aligned}
\Phi(t_n + s, x) = {} & e^{-is/\varepsilon^2}\left(\Psi_+^{1,n}(s,x) + \Psi_-^{1,n}(s,x)\right) \\
& + e^{is/\varepsilon^2}\left(\Psi_+^{2,n}(s,x) + \Psi_-^{2,n}(s,x)\right), \quad 0 \leq s \leq \tau,
\end{aligned} \tag{2.5}$$

where $(\Psi_+^{1,n}(s,x), \Psi_-^{1,n}(s,x))$ solves the coupled system for $x \in \mathbb{R}$ and $0 \le s \le \tau$ as

(2.6)
$$\begin{cases} i\partial_s \Psi_+^{1,n}(s,x) = \frac{1}{\varepsilon^2}\left(\sqrt{I - \varepsilon^2\Delta} - I\right)\Psi_+^{1,n}(s,x) + \Pi_+\left(W\Psi_+^{1,n}(s,x) + W\Psi_-^{1,n}(s,x)\right), \\ i\partial_s \Psi_-^{1,n}(s,x) = \frac{1}{\varepsilon^2}\left(-\sqrt{I - \varepsilon^2\Delta} - I\right)\Psi_-^{1,n}(s,x) \\ \qquad\qquad\qquad + \Pi_-\left(W\Psi_+^{1,n}(s,x) + W\Psi_-^{1,n}(s,x)\right), \\ \Psi_+^{1,n}(0,x) = \Pi_+\Phi^n(x), \quad \Psi_-^{1,n}(0,x) = \mathbf{0}, \end{cases}$$

with $W := W(t_n + s, x)$, and similarly $(\Psi_+^{2,n}(s,x), \Psi_-^{2,n}(s,x))$ satisfies

(2.7)
$$\begin{cases} i\partial_s \Psi_+^{2,n}(s,x) = \frac{1}{\varepsilon^2}\left(\sqrt{I - \varepsilon^2\Delta} + I\right)\Psi_+^{2,n}(s,x) + \Pi_+\left(W\Psi_+^{2,n}(s,x) + W\Psi_-^{2,n}(s,x)\right), \\ i\partial_s \Psi_-^{2,n}(s,x) = \frac{1}{\varepsilon^2}\left(-\sqrt{I - \varepsilon^2\Delta} + I\right)\Psi_-^{2,n}(s,x) \\ \qquad\qquad\qquad + \Pi_-\left(W\Psi_+^{2,n}(s,x) + W\Psi_-^{2,n}(s,x)\right), \\ \Psi_+^{2,n}(0,x) = \mathbf{0}, \quad \Psi_-^{2,n}(0,\mathbf{x}) = \Pi_-\Phi^n(x). \end{cases}$$

Following the analysis in [12], it is easy to verify that $\Psi_+^{1,n}(s,x) = O(1)$, $\Psi_-^{2,n}(s,x) = O(1)$, $\Psi_-^{1,n}(s,x) = O(\varepsilon^2)$, $\Psi_+^{2,n}(s,x) = O(\varepsilon^2)$, and $\partial_s\Psi_\pm^{k,n} = O(1)$ for $k = 1, 2$. Thus $\Phi(t_{n+1}, x)$ can be evaluated numerically by solving the two coupled systems (2.6) and (2.7) via the EWI Fourier pseudospectral (EWI-FP) method [6, 8] through the decomposition (2.5).

2.2. The MTI Fourier spectral discretization. As a common practice in the literatures [11, 13, 24, 25, 28, 36, 41, 42] for practical computation, the Dirac equation (1.8) with $d = 1$ is usually truncated on a bounded interval $\Omega = (a, b)$ for $\Phi := \Phi(t, x) \in \mathbb{C}^2$,

(2.8) $$i\partial_t \Phi(t, x) = \frac{1}{\varepsilon^2}\mathcal{T}\Phi(t, x) + W(t, x)\Phi(t, x), \qquad x \in \Omega, \quad t > 0,$$

with periodic boundary conditions and initial condition as

(2.9) $$\Phi(t, x) \text{ is } (b - a) \text{ periodic in } x, \quad t \ge 0, \qquad \Phi(0, x) = \Phi_0(x), \quad x \in \overline{\Omega},$$

where we use the same notations $\mathcal{T}$ and $W(t, x)$ here as those in the whole space case (2.1) by abuse of notation and we remark that the domain of $\mathcal{T}$ here is $(H_p^1(\Omega))^2$ with $H_p^1(\Omega) = \{u \in H^1(\Omega) | u(a) = u(b)\}$.

Then the systems (2.6) and (2.7) for the decomposition (2.5) with $x \in \Omega$ and $0 \le s \le \tau$ collapse to

(2.10)
$$\begin{cases} i\partial_s \Psi_+^{1,n}(s,x) = \frac{1}{\varepsilon^2}\left(\sqrt{I - \varepsilon^2\Delta} - I\right)\Psi_+^{1,n}(s,x) + \Pi_+\left(W\Psi_+^{1,n}(s,x) + W\Psi_-^{1,n}(s,x)\right), \\ i\partial_s \Psi_-^{1,n}(s,x) = \frac{1}{\varepsilon^2}\left(-\sqrt{I - \varepsilon^2\Delta} - I\right)\Psi_-^{1,n}(s,x) \\ \qquad\qquad\qquad + \Pi_-\left(W\Psi_+^{1,n}(s,x) + W\Psi_-^{1,n}(s,x)\right), \\ \Psi_\pm^{1,n}(s,x) \text{ is } (b-a) \text{ periodic in } x, \quad \Psi_+^{1,n}(0,x) = \Pi_+\Phi(t_n,x), \quad \Psi_-^{1,n}(0,x) = \mathbf{0}, \end{cases}$$

and

(2.11)
$$\begin{cases} i\partial_s \Psi_+^{2,n}(s,x) = \frac{1}{\varepsilon^2}\left(\sqrt{I - \varepsilon^2\Delta} + I\right)\Psi_+^{2,n}(s,x) + \Pi_+\left(W\Psi_+^{2,n}(s,x) + W\Psi_-^{2,n}(s,x)\right), \\ i\partial_s \Psi_-^{2,n}(s,x) = \frac{1}{\varepsilon^2}\left(-\sqrt{I - \varepsilon^2\Delta} + I\right)\Psi_-^{2,n}(s,x) \\ \qquad\qquad\qquad + \Pi_-\left(W\Psi_+^{2,n}(s,x) + W\Psi_-^{2,n}(s,x)\right), \\ \Psi_\pm^{2,n}(s,x) \text{ is } (b-a) \text{ periodic in } x, \quad \Psi_+^{2,n}(0,x) = \mathbf{0}, \quad \Psi_-^{2,n}(0,x) = \Pi_-\Phi(t_n,x). \end{cases}$$

Choose the mesh size $h := \Delta x = \frac{b-a}{M}$ with M being a positive even integer and denote the grid points as $x_j := a + jh$ for $j = 0, 1, \ldots, M$. Denote $X_M = \{U = (U_0, U_1, \ldots, U_M)^T \mid U_j \in \mathbb{C}^2, j = 0, 1, \ldots, M, \ U_0 = U_M\}$ and the l^2 norm in X_M is given by

$$(2.12) \qquad \|U\|_{l^2}^2 = h \sum_{j=0}^{M-1} |U_j|^2, \qquad U \in X_M.$$

Introduce

$$Y_M = Z_M \times Z_M \qquad \text{with}$$

$$Z_M = \text{span}\left\{ \phi_l(x) = e^{i\mu_l(x-a)}, \ \mu_l = \frac{2l\pi}{b-a}, \quad l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1 \right\}.$$

Let $[C_p(a,b)]^2$ be the function space consisting of all periodic vector functions $U(x) : [a, b] \to \mathbb{C}^2$. For any $U(x) \in [L^2(a,b)]^2$ and $U \in X_M$, define $P_M : [L^2(a,b)]^2 \to Y_M$ as the standard projection operator, $I_M : [C_p(a,b)]^2 \to Y_M$ and $I_M : X_M \to Y_M$ as the standard interpolation operator, i.e.,
(2.13)

$$(P_M U)(x) = \sum_{l=-M/2}^{M/2-1} \widehat{U}_l \, e^{i\mu_l(x-a)}, \quad (I_M U)(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{U}_l \, e^{i\mu_l(x-a)}, \qquad a \le x \le b,$$

with
(2.14)

$$\widehat{U}_l = \frac{1}{b-a} \int_a^b U(x) \, e^{-i\mu_l(x-a)} \, dx, \quad \widetilde{U}_l = \frac{1}{M} \sum_{j=0}^{M-1} U_j \, e^{-2ijl\pi/M}, \quad l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1,$$

where $U_j = U(x_j)$ when U is a function. The Parseval's identity implies that

$$(2.15) \qquad \|I_M(U)(\cdot)\|_{L^2} = \|U\|_{l^2} \quad \forall U \in X_M.$$

The Fourier spectral discretization for (2.10)–(2.11) reads as find $\Psi_{\pm,M}^{k,n} :=$ $\Psi_{\pm,M}^{k,n}(s) = \Psi_{\pm,M}^{k,n}(s,x) \in Y_M$ $(0 \le s \le \tau)$, i.e.,

$$(2.16) \quad \Psi_{\pm,M}^{k,n}(s,x) = \sum_{l=-M/2}^{M/2-1} \left(\widehat{\Psi_{\pm}^{k,n}}\right)_l (s) \, e^{i\mu_l(x-a)},$$

$$a \le x \le b, \qquad s \ge 0, \qquad k = 1, 2,$$

such that for $a < x < b$ and $0 \le s \le \tau$,
(2.17)
$$\begin{cases} i\partial_s \Psi_{+,M}^{1,n}(s) = \frac{1}{\varepsilon^2}\left(\sqrt{I - \varepsilon^2 \Delta} - I\right) \Psi_{+,M}^{1,n}(s) + \Pi_+\left(W\Psi_{+,M}^{1,n}(s) + W\Psi_{-,M}^{1,n}(s)\right), \\ i\partial_s \Psi_{-,M}^{1,n}(s) = \frac{1}{\varepsilon^2}\left(-\sqrt{I - \varepsilon^2 \Delta} - I\right) \Psi_{-,M}^{1,n}(s) + \Pi_-\left(W\Psi_{+,M}^{1,n}(s) + W\Psi_{-,M}^{1,n}(s)\right), \\ \Psi_{+,M}^{1,n}(0) = P_M\left(\Pi_+ \Phi(t_n, x)\right), \quad \Psi_{-,M}^{1,n}(0) = \mathbf{0}, \end{cases}$$

and
(2.18)
$$\begin{cases} i\partial_s \Psi_{+,M}^{2,n}(s) = \frac{1}{\varepsilon^2}\left(\sqrt{I - \varepsilon^2 \Delta} + I\right) \Psi_{+,M}^{2,n}(s) + \Pi_+\left(W\Psi_{+,M}^{2,n}(s) + W\Psi_{-,M}^{2,n}(s)\right), \\ i\partial_s \Psi_{-,M}^{2,n}(s) = \frac{1}{\varepsilon^2}\left(-\sqrt{I - \varepsilon^2 \Delta} + I\right) \Psi_{-,M}^{2,n}(s) + \Pi_-\left(W\Psi_{+,M}^{2,n}(s) + W\Psi_{-,M}^{2,n}(s)\right), \\ \Psi_{+,M}^{2,n}(0) = \mathbf{0}, \quad \Psi_{-,M}^{2,n}(0) = P_M\left(\Pi_- \Phi(t_n, x)\right). \end{cases}$$

We then obtain the equations for the Fourier coefficients with $0 \le s \le \tau$ as
(2.19)
$$\begin{cases} i\partial_s \widehat{\left(\Psi_+^{1,n}\right)}_l(s) = \frac{\delta_l^-}{\varepsilon^2} I_2 \widehat{\left(\Psi_+^{1,n}\right)}_l(s) + \Pi_l^+ \left(\widehat{W\Psi_{+,M}^{1,n}}\right)_l(s) + \Pi_l^+ \left(\widehat{W\Psi_{-,M}^{1,n}}\right)_l(s), \\ i\partial_s \widehat{\left(\Psi_-^{1,n}\right)}_l(s) = -\frac{\delta_l^+}{\varepsilon^2} I_2 \widehat{\left(\Psi_-^{1,n}\right)}_l(s) + \Pi_l^- \left(\widehat{W\Psi_{+,M}^{1,n}}\right)_l(s) + \Pi_l^- \left(\widehat{W\Psi_{-,M}^{1,n}}\right)_l(s), \end{cases}$$
and
(2.20)
$$\begin{cases} i\partial_s \widehat{\left(\Psi_+^{2,n}\right)}_l(s) = \frac{\delta_l^+}{\varepsilon^2} I_2 \widehat{\left(\Psi_+^{2,n}\right)}_l(s) + \Pi_l^+ \left(\widehat{W\Psi_{+,M}^{2,n}}\right)_l(s) + \Pi_l^+ \left(\widehat{W\Psi_{-,M}^{2,n}}\right)_l(s), \\ i\partial_s \widehat{\left(\Psi_-^{2,n}\right)}_l(s) = -\frac{\delta_l^-}{\varepsilon^2} I_2 \widehat{\left(\Psi_-^{2,n}\right)}_l(s) + \Pi_l^- \left(\widehat{W\Psi_{+,M}^{2,n}}\right)_l(s) + \Pi_l^- \left(\widehat{W\Psi_{-,M}^{2,n}}\right)_l(s) \end{cases}$$
for $l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1$, where

(2.21)
$$\delta_l = \sqrt{1 + \varepsilon^2 \mu_l^2}, \qquad \delta_l^+ = \delta_l + 1, \qquad \delta_l^- = \delta_l - 1,$$

and Π_l^+ and Π_l^- are the corresponding Fourier representations of the projectors $\Pi_\pm$ as
(2.22)
$$\Pi_l^+ = \begin{pmatrix} \frac{1+\delta_l}{2\delta_l} & \frac{\varepsilon\mu_l}{2\delta_l} \\ \frac{\varepsilon\mu_l}{2\delta_l} & \frac{\varepsilon^2\mu_l^2}{2\delta_l(\delta_l+1)} \end{pmatrix}, \quad \Pi_l^- = \begin{pmatrix} \frac{\varepsilon^2\mu_l^2}{2\delta_l(\delta_l+1)} & -\frac{\varepsilon\mu_l}{2\delta_l} \\ -\frac{\varepsilon\mu_l}{2\delta_l} & \frac{1+\delta_l}{2\delta_l} \end{pmatrix}, \quad l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1.$$

Using the variation-of-constant formula, we can write the solution as

$$\widehat{\left(\Psi_+^{1,n}\right)}_l(s) = e^{-i\delta_l^- s/\varepsilon^2} \widehat{\left(\Psi_+^{1,n}\right)}_l(0)$$
$$- i\int_0^s e^{-i\delta_l^-(s-w)/\varepsilon^2} \Pi_l^+ \left(\left(\widehat{W\Psi_{+,M}^{1,n}}\right)_l(w) + \left(\widehat{W\Psi_{-,M}^{1,n}}\right)_l(w)\right) dw,$$

$$\widehat{\left(\Psi_-^{1,n}\right)}_l(s) = e^{i\delta_l^+ s/\varepsilon^2} \widehat{\left(\Psi_-^{1,n}\right)}_l(0)$$
$$- i\int_0^s e^{i\delta_l^+(s-w)/\varepsilon^2} \Pi_l^- \left(\left(\widehat{W\Psi_{+,M}^{1,n}}\right)_l(w) + \left(\widehat{W\Psi_{-,M}^{1,n}}\right)_l(w)\right) dw,$$

$$\widehat{\left(\Psi_+^{2,n}\right)}_l(s) = e^{-i\delta_l^+ s/\varepsilon^2} \widehat{\left(\Psi_+^{2,n}\right)}_l(0)$$
$$- i\int_0^s e^{-i\delta_l^+(s-w)/\varepsilon^2} \Pi_l^+ \left(\left(\widehat{W\Psi_{+,M}^{2,n}}\right)_l(w) + \left(\widehat{W\Psi_{-,M}^{2,n}}\right)_l(w)\right) dw,$$

$$\widehat{\left(\Psi_-^{2,n}\right)}_l(s) = e^{i\delta_l^- s/\varepsilon^2} \widehat{\left(\Psi_-^{2,n}\right)}_l(0)$$
$$- i\int_0^s e^{i\delta_l^-(s-w)/\varepsilon^2} \Pi_l^- \left(\left(\widehat{W\Psi_{+,M}^{2,n}}\right)_l(w) + \left(\widehat{W\Psi_{-,M}^{2,n}}\right)_l(w)\right) dw.$$

Using the initial conditions and choosing $s = \tau$, we can approximate the integrals via the Gautschi-type quadrature rules [8, 22, 26, 27] or EWI [6, 7, 8, 9, 10]. Using the Taylor expansion and the equations (2.17)–(2.18) to determine the first order

derivative, we can approximate the first integral in the above equation as

(2.23)

$$-i \int_0^\tau e^{-i\delta_l^-(\tau-w)/\varepsilon^2} \Pi_l^+ \left(\left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(w) + \left(\widehat{W\Psi_{-,M}^{1,n}} \right)_l(w) \right) dw$$

$$\approx -i \int_0^\tau e^{-i\delta_l^-(\tau-w)/\varepsilon^2} \Pi_l^+ \left(\left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(0) + \left(\widehat{W\Psi_{-,M}^{1,n}} \right)_l(0) \right) dw$$

$$- i \int_0^\tau e^{-i\delta_l^-(\tau-w)/\varepsilon^2} w \Pi_l^+ \left(\partial_s \left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(0) + \partial_s \left(\widehat{W\Psi_{-,M}^{1,n}} \right)_l(0) \right) dw$$

$$= p_l^-(\tau) \Pi_l^+ \left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(0) + q_l^-(\tau) \Pi_l^+ \left(\partial_s \left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(0) + \partial_s \left(\widehat{W\Psi_{-,M}^{1,n}} \right)_l(0) \right),$$

where

(2.24)

$$p_l^-(\tau) = -i\tau e^{\frac{-i\tau\delta_l^-}{2\varepsilon^2}} \operatorname{sinc}\left(\frac{\delta_l^-\tau}{2\varepsilon^2}\right), \quad q_l^-(\tau) = -\frac{\tau\varepsilon^2}{\delta_l^-}\left(I - e^{\frac{-i\tau\delta_l^-}{2\varepsilon^2}} \operatorname{sinc}\left(\frac{\delta_l^-\tau}{2\varepsilon^2}\right)\right),$$

and $\operatorname{sinc}(s) = \frac{\sin s}{s}$ with $\operatorname{sinc}(0) = 1$. Note that $p_l^-(\tau) = O(\tau)$ and $q_l^-(\tau) = O(\tau^2)$ for $l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1$, and for the special case $l = 0$, $p_0^-(\tau) = -i\tau$, and $q_0^-(\tau) = -i\frac{\tau^2}{2}$. Similarly, the other integrals can be approximated as

(2.25) $$- i \int_0^\tau e^{i\delta_l^+(\tau-w)/\varepsilon^2} \Pi_l^- \left(\left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(w) + \left(\widehat{W\Psi_{-,M}^{1,n}} \right)_l(w) \right) dw$$

$$\approx -\overline{p_l^+(\tau)} \Pi_l^- \left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(0) - \overline{q_l^+(\tau)} \Pi_l^- \left(\partial_s \left(\widehat{W\Psi_{+,M}^{1,n}} \right)_l(0) + \partial_s \left(\widehat{W\Psi_{-,M}^{1,n}} \right)_l(0) \right),$$

(2.26) $$- i \int_0^\tau e^{-i\delta_l^+(\tau-w)/\varepsilon^2} \Pi_l^+ \left(\left(\widehat{W\Psi_{+,M}^{2,n}} \right)_l(w) + \left(\widehat{W\Psi_{-,M}^{2,n}} \right)_l(w) \right) dw$$

$$\approx p_l^+(\tau) \Pi_l^+ \left(\widehat{W\Psi_{-,M}^{2,n}} \right)_l(0) + q_l^+(\tau) \Pi_l^+ \left(\partial_s \left(\widehat{W\Psi_{+,M}^{2,n}} \right)_l(0) + \partial_s \left(\widehat{W\Psi_{-,M}^{2,n}} \right)_l(0) \right),$$

(2.27) $$- i \int_0^\tau e^{i\delta_l^-(\tau-w)/\varepsilon^2} \Pi_l^- \left(\left(\widehat{W\Psi_{+,M}^{2,n}} \right)_l(w) + \left(\widehat{W\Psi_{-,M}^{2,n}} \right)_l(w) \right) dw$$

$$\approx -\overline{p_l^-(\tau)} \Pi_l^- \left(\widehat{W\Psi_{-,M}^{2,n}} \right)_l(0) - \overline{q_l^-(\tau)} \Pi_l^- \left(\partial_s \left(\widehat{W\Psi_{+,M}^{2,n}} \right)_l(0) + \partial_s \left(\widehat{W\Psi_{-,M}^{2,n}} \right)_l(0) \right)$$

with $\bar{c}$ denoting the complex conjugate of c and

(2.28) $$p_l^+(\tau) = -i\tau e^{\frac{-i\tau\delta_l^+}{2\varepsilon^2}} \operatorname{sinc}\left(\frac{\delta_l^+\tau}{2\varepsilon^2}\right), \quad q_l^+(\tau) = -\frac{\tau\varepsilon^2}{\delta_l^+}\left(I - e^{\frac{-i\tau\delta_l^+}{2\varepsilon^2}} \operatorname{sinc}\left(\frac{\delta_l^+\tau}{2\varepsilon^2}\right)\right).$$

For simplicity of notations, by omitting the spatial x variable and denoting

(2.29)
$$f_\pm^{k,n}(s) = W(t_n + s)\Psi_{\pm,M}^{k,n}(s), \quad \dot{f}_\pm^{k,n}(s) = W(t_n)\partial_s \Psi_{\pm,M}^{k,n}(s),$$
$$g_\pm^{k,n}(s) = \partial_s W(t_n + s)\Psi_{\pm,M}^{k,n}(s),$$

in order to design a uniform convergent method with respect to $\varepsilon \in (0, 1]$, we find the solutions should be updated in the order from small component to large component

as

$$
\begin{cases}
\left(\widehat{\Psi_-^{1,n}}\right)_l(\tau) \approx -\overline{p_l^+(\tau)}\Pi_l^-\left(\widehat{f_+^{1,n}}\right)_l(0) - \overline{q_l^+(\tau)}\Pi_l^-\left(\widehat{g_+^{1,n}}\right)_l(0) \\
\qquad\qquad - \overline{q_l^+(\tau)}\Pi_l^-\left(\left(\widehat{\dot{f}_+^{1,n}}\right)_l(0) + \left(\widehat{\dot{f}_-^{1,n}}\right)_l(0)\right), \\
\left(\widehat{\Psi_+^{2,n}}\right)_l(\tau) \approx p_l^+(\tau)\Pi_l^+\left(\widehat{f_-^{2,n}}\right)_l(0) + q_l^+(\tau)\Pi_l^+\left(\widehat{g_-^{2,n}}\right)_l(0) \\
\qquad\qquad + q_l^+(\tau)\Pi_l^+\left(\left(\widehat{\dot{f}_+^{2,n}}\right)_l(0) + \left(\widehat{\dot{f}_-^{2,n}}\right)_l(0)\right)
\end{cases}
$$

with initial values and derivatives determined from (2.17)–(2.18) as

$$
\left(\widehat{\Psi_+^{1,n}}\right)_l(0) = \Pi_l^+(\widehat{\Phi(t_n)})_l, \quad \left(\widehat{\Psi_-^{1,n}}\right)_l(0) = 0,
$$

$$
\left(\widehat{\Psi_+^{2,n}}\right)_l(0) = 0, \quad \left(\widehat{\Psi_-^{1,n}}\right)_l(0) = \Pi_l^-(\widehat{\Phi(t_n)})_l,
$$

$$
\left(\widehat{\partial_s \Psi_{+,M}^{1,n}}\right)_l(0) \approx -i\frac{2\sin(\mu_l^2\tau/2)}{\delta_l^+\tau}\left(\widehat{\Psi_+^{1,n}}\right)_l(0) - i\,\Pi_l^+\left(\widehat{W(t_n)\Psi_{+,M}^{1,n}(0)}\right)_l,
$$

$$
\left(\widehat{\partial_s \Psi_{-,M}^{1,n}}\right)_l(0) = -i\,\Pi_l^-\left(\widehat{W(t_n)\Psi_{+,M}^{1,n}(0)}\right)_l,
$$

$$
\left(\widehat{\partial_s \Psi_{+,M}^{2,n}}\right)_l(0) = -i\,\Pi_l^+\left(\widehat{W(t_n)\Psi_{-,M}^{2,n}(0)}\right)_l,
$$

$$
\left(\widehat{\partial_s \Psi_{-,M}^{2,n}}\right)_l(0) \approx i\frac{2\sin(\mu_l^2\tau/2)}{\delta_l^+\tau}\left(\widehat{\Psi_-^{2,n}}\right)_l(0) - i\,\Pi_l^-\left(\widehat{W(t_n)\Psi_{-,M}^{2,n}(0)}\right)_l,
$$

$$
l = -\frac{M}{2},\ldots,\frac{M}{2}-1,
$$

where the derivatives $\partial_s\Psi_{+,M}^{1,n}(0)$ and $\partial_s\Psi_{-,M}^{2,n}(0)$ are approximated using filters $2\sin(\mu_l^2\tau/2)/\tau$ instead of μ_l^2 ($l = -\frac{M}{2},\ldots,\frac{M}{2}-1$) to avoid loss of accuracy, then followed by

$$
\begin{cases}
\left(\widehat{\Psi_+^{1,n}}\right)_l(\tau) \approx e^{-i\delta_l^-\tau/\varepsilon^2}\left(\widehat{\Psi_+^{1,n}}\right)_l(0) + p_l^-(\tau)\,\Pi_l^+\left(\widehat{f_+^{1,n}}\right)_l(0) + q_l^-(\tau)\,\Pi_l^+\left(\widehat{g_+^{1,n}}\right)_l(0) \\
\qquad\qquad + q_l^-(\tau)\,\Pi_l^+\left(\left(\widehat{\dot{f}_+^{1,n}}\right)_l(0) + \left(\widehat{\dot{f}_-^{1,n}}\right)_l(0)\right), \\
\left(\widehat{\Psi_-^{2,n}}\right)_l(\tau) \approx e^{i\delta_l^-\tau/\varepsilon^2}\left(\widehat{\Psi_-^{2,n}}\right)_l(0) - \overline{p_l^-(\tau)}\,\Pi_l^-\left(\widehat{f_-^{2,n}}\right)_l(0) - \overline{q_l^-(\tau)}\,\Pi_l^-\left(\widehat{g_-^{2,n}}\right)_l(0) \\
\qquad\qquad - \overline{q_l^-(\tau)}\,\Pi_l^-\left(\left(\widehat{\dot{f}_+^{2,n}}\right)_l(0) + \left(\widehat{\dot{f}_-^{2,n}}\right)_l(0)\right)
\end{cases}
$$

with $(\widehat{\partial_s\Psi_-^{1,n}})_l(0)$ and $(\widehat{\partial_s\Psi_+^{2,n}})_l(0)$ approximated in another way as

$$
\left(\widehat{\partial_s \Psi_-^{1,n}}\right)_l(0) \approx \frac{1}{\tau}\left(\widehat{\Psi_-^{1,n}}\right)_l(\tau), \quad \left(\widehat{\partial_s \Psi_+^{2,n}}\right)_l(0) \approx \frac{1}{\tau}\left(\widehat{\Psi_+^{2,n}}\right)_l(\tau), \quad l = -\frac{M}{2},\ldots,\frac{M}{2}-1.
$$

2.3. The MTI-FP method in 1D. In practice, the integrals for computing the Fourier transform coefficients in (2.14), (2.23)–(2.27) are usually approximated by the

numerical quadratures. Let Φ_j^n be the numerical approximation of the exact solution $\Phi(t_n, x_j)$ to the Dirac equation (2.8) for $n \geq 0$ and $j = 0, 1, \ldots, M$, and denote $\Phi^n \in X_M$ as the numerical solution vector at time $t = t_n$; in addition, let $\Psi_{\pm,j}^{k,n+1}$ $(k = 1, 2)$ be the numerical approximation of $\Psi_{\pm}^{k,n}(\tau, x_j)$ for $j = 0, 1, \ldots, M$ and $n \geq 0$, and denote $V_j^n = V(t_n, x_j)$, $A_{1,j}^n = A_1(t_n, x_j)$, and $W_j^n = W(t_n, x_j) = V_j^n I_2 - A_{1,j}^n \sigma_1$ for $j = 0, 1, \ldots, M$ and $n \geq 0$. Choosing $\Phi_j^0 = \Phi_0(x_j)$ for $j = 0, 1, \ldots, M$, then the MTI-FP method for discretizing the Dirac equation (1.8) in 1D reads for $n \geq 0$ and $j = 0, 1, \ldots, M$ as

$$\Phi_j^{n+1} = e^{-i\tau/\varepsilon^2} \left(\Psi_{+,j}^{1,n+1} + \Psi_{-,j}^{1,n+1} \right) + e^{i\tau/\varepsilon^2} \left(\Psi_{+,j}^{2,n+1} + \Psi_{-,j}^{2,n+1} \right)$$

(2.30)
$$= \sum_{l=-M/2}^{M/2-1} (\widetilde{\Phi^{n+1}})_l e^{i\mu_l(x_j-a)},$$

where

(2.31)
$$\Psi_{\pm,j}^{k,n+1} = \sum_{l=-M/2}^{M/2-1} \left(\widetilde{\Psi_{\pm}^{k,n+1}} \right)_l e^{i\mu_l(x_j-a)}, \quad k = 1, 2,$$

with
(2.32)
$$\begin{cases}
\left(\widetilde{\Psi_{-}^{1,n+1}} \right)_l = -\overline{p_l^+(\tau)}\Pi_l^- \widetilde{\left(f_+^1\right)}_l - \overline{q_l^+(\tau)}\Pi_l^- \widetilde{\left(g_+^1\right)}_l - \overline{q_l^+(\tau)}\Pi_l^- \left(\widetilde{\left(\dot{f}_+^1\right)}_l + \widetilde{\left(\dot{f}_-^1\right)}_l \right), \\[2mm]
\left(\widetilde{\Psi_{+}^{2,n+1}} \right)_l = p_l^+(\tau)\Pi_l^+ \widetilde{\left(f_-^2\right)}_l + q_l^+(\tau)\Pi_l^+ \widetilde{\left(g_-^2\right)}_l + q_l^+(\tau)\Pi_l^+ \left(\widetilde{\left(\dot{f}_+^2\right)}_l + \widetilde{\left(\dot{f}_-^2\right)}_l \right), \\[2mm]
\left(\widetilde{\Psi_{+}^{1,n+1}} \right)_l = e^{-i\frac{\delta_l^-\tau}{\varepsilon^2}} \widetilde{\left(\Psi_+^1\right)}_l + p_l^-(\tau)\Pi_l^+ \widetilde{\left(f_+^1\right)}_l + q_l^-(\tau)\Pi_l^+ \widetilde{\left(g_+^1\right)}_l \\[2mm]
\qquad\qquad + q_l^-(\tau)\Pi_l^+ \left(\widetilde{\left(\dot{f}_+^1\right)}_l + \widetilde{\left(\dot{f}_-^{1,*}\right)}_l \right), \\[2mm]
\left(\widetilde{\Psi_{-}^{2,n+1}} \right)_l = e^{i\frac{\delta_l^-\tau}{\varepsilon^2}} \widetilde{\left(\Psi_-^2\right)}_l - \overline{p_l^-(\tau)}\Pi_l^- \widetilde{\left(f_-^2\right)}_l - \overline{q_l^-(\tau)}\Pi_l^- \widetilde{\left(g_-^2\right)}_l \\[2mm]
\qquad\qquad - \overline{q_l^-(\tau)}\Pi_l^- \left(\widetilde{\left(\dot{f}_+^{2,*}\right)}_l + \widetilde{\left(\dot{f}_-^2\right)}_l \right),
\end{cases}$$

and

(2.33)
$$\begin{cases}
f_{\pm,j}^k = \sum_{l=-M/2}^{M/2-1} \widetilde{\left(f_\pm^k\right)}_l e^{i\mu_l(x_j-a)}, \quad \dot{f}_{\pm,j}^k = \sum_{l=-M/2}^{M/2-1} \widetilde{\left(\dot{f}_\pm^k\right)}_l e^{i\mu_l(x_j-a)}, \\[3mm]
\qquad g_{\pm,j}^k = \sum_{l=-M/2}^{M/2-1} \widetilde{\left(g_\pm^k\right)}_l e^{i\mu_l(x_j-a)}, \\[3mm]
\dot{f}_{-,j}^{1,*} = \sum_{l=-M/2}^{M/2-1} \widetilde{\left(\dot{f}_-^{1,*}\right)}_l e^{i\mu_l(x_j-a)}, \quad \dot{f}_{+,j}^{2,*} = \sum_{l=-M/2}^{M/2-1} \widetilde{\left(\dot{f}_+^{2,*}\right)}_l e^{i\mu_l(x_j-a)}, \\[3mm]
\qquad j = 0, 1, \ldots, M, \quad k = 1, 2,
\end{cases}$$

with
(2.34)
$$
\begin{cases}
\widetilde{(\Psi_+^1)}_l = \Pi_l^+ \widetilde{(\Phi^n)}_l, \quad \widetilde{(\Psi_-^1)}_l = \mathbf{0}, \quad \widetilde{(\Psi_+^2)}_l = \mathbf{0}, \quad \widetilde{(\Psi_-^1)}_l = \Pi_l^- \widetilde{(\Phi^n)}_l, \\[4pt]
\left(\widetilde{\dot{\Psi}_+^1}\right)_l = -i\,\frac{2\sin(\mu_l^2\tau/2)}{\delta_l^+\tau}\widetilde{(\Psi_+^1)}_l - i\,\Pi_l^+ \widetilde{(f_+^1)}_l, \\[4pt]
\left(\widetilde{\dot{\Psi}_-^1}\right)_l = -i\,\Pi_l^- \widetilde{(f_+^1)}_l, \quad l = -\tfrac{M}{2}, \ldots, \tfrac{M}{2}-1, \\[4pt]
\left(\widetilde{\dot{\Psi}_+^2}\right)_l = -i\,\Pi_l^+ \widetilde{(f_-^2)}_l, \quad \left(\widetilde{\dot{\Psi}_-^2}\right)_l = i\,\frac{2\sin(\mu_l^2\tau/2)}{\delta_l^+\tau}\widetilde{(\Psi_-^2)}_l - i\,\Pi_l^- \widetilde{(f_-^2)}_l, \\[4pt]
f_{\pm,j}^k = W_j^n \Psi_{\pm,j}^k, \quad \dot{f}_{\pm,j}^k = W_j^n \dot{\Psi}_{\pm,j}^k, \quad g_{\pm,j}^k = \partial_t W(t_n, x_j)\Psi_{\pm,j}^k, \\[4pt]
\dot{f}_{-,j}^{1,*} = \tfrac{1}{\tau}W_j^n \left(\Psi_{-,j}^{1,n+1}\right), \quad \dot{f}_{+,j}^{2,*} = \tfrac{1}{\tau}W_j^n \left(\Psi_{+,j}^{2,n+1}\right), \quad j = 0,1,\ldots,M, \quad k = 1,2.
\end{cases}
$$

Remark 2.1. When the electromagnetic potentials in the Dirac equation (2.8) are time independent, i.e., $V(t,x) = V(x)$ and $A_1(t,x) = A_1(x)$, the above scheme (2.30)–(2.34) will be simplified with $g_{\pm,j}^k = 0$, $k = 1,2$, $j = 0,1,\ldots,M$, i.e., the $\widetilde{(g_\pm^k)}_l$ term vanishes.

Note that in the above MTI-FP method, we first evaluate the small components $\Psi_{-,j}^{1,n+1}$ and $\Psi_{+,j}^{2,n+1}$, then approximate their time derivatives via finite difference approximations, and finally use them in the evaluation of the large components $\Psi_{+,j}^{1,n+1}$ and $\Psi_{-,j}^{2,n+1}$.

This MTI-FP method for the Dirac equation (1.8) (or (1.1)) is explicit, accurate, easy to implement, and very efficient due to the discrete fast Fourier transform. The memory cost is $O(M)$ and the computational cost per time step is $O(M \log M)$. As will be shown in the next section, it is uniformly convergent in space and time with respect to $\varepsilon \in (0, 1]$.

3. A uniform error bound. In this section, we establish two independent error estimates for the MTI-FP method (2.30) via two different mathematical approaches. Let $0 < T < \infty$ be any fixed time. Motivated by the results for the Dirac equation (1.8) (or (1.1)) in [12, 15], we make the following assumptions on the electromagnetic potentials,

(A) $$\|V\|_{W^{2,\infty}([0,T];W_p^{m_0,\infty})} + \|A_1\|_{W^{2,\infty}([0,T];W_p^{m_0,\infty})} \lesssim 1, \qquad m_0 \geq 4,$$

and the exact solution $\Phi := \Phi(t,x)$ of the Dirac equation (2.8) with $\varepsilon \in (0,1]$,

(B) $$\|\Phi\|_{L^\infty([0,T];(H_p^{m_0})^2)} \lesssim 1, \quad \|\partial_t\Phi\|_{L^\infty([0,T];(H_p^{m_0-1})^2)} \lesssim \frac{1}{\varepsilon^2},$$

$$\|\partial_{tt}\Phi(t,x)\|_{L^\infty([0,T];(L^2)^2)} \lesssim \frac{1}{\varepsilon^4},$$

where $H_p^m(\Omega) = \{u \mid u \in H^m(\Omega),\ \partial_x^l u(a) = \partial_x^l u(b),\ l = 0,\ldots,m-1\}$ and $W_p^{m,\infty}(\Omega) = \{u \mid u \in W^{m,\infty}(\Omega),\ \partial_x^l u(a) = \partial_x^l u(b),\ l = 0,\ldots,m-1\}$ for $m \in \mathbb{N}$. We remark here that the assumption (B) is equivalent to the initial value $\Phi_0(x) \in (H_p^{m_0})^2$ [12, 33] under the assumption (A).

THEOREM 3.1. *Let $\Phi^n \in X_M$ be the numerical approximation obtained from the MTI-FP method (2.30) and denote $\Phi_I^n(x) = I_M(\Phi^n)(x) \in Y_M$. Under the assumptions (A) and (B), there exist constants $0 < \tau_0, h_0 \leq 1$ sufficiently small and independent of ε, such that for any $0 < \varepsilon \leq 1$, when $0 < \tau \leq \tau_0$ and $0 < h \leq h_0$, we*

have

(3.1)

$$\|\Phi(t_n,\cdot)-\Phi_I^n(\cdot)\|_{L^2} \lesssim h^{m_0}+\frac{\tau^2}{\varepsilon^2}, \quad \|\Phi(t_n,\cdot)-\Phi_I^n(\cdot)\|_{L^2} \lesssim h^{m_0}+\tau^2+\varepsilon^2, \quad 0 \le n \le \frac{T}{\tau},$$

which yields the uniform error bound by taking the minimum among the two error bounds for $\varepsilon \in (0,1]$:

$$(3.2) \quad \|\Phi(t_n,\cdot)-\Phi_I^n(\cdot)\|_{L^2} \lesssim h^{m_0} + \min_{0<\varepsilon\le 1}\left\{\frac{\tau^2}{\varepsilon^2}, \tau^2+\varepsilon^2\right\} \lesssim h^{m_0}+\tau, \quad 0 \le n \le \frac{T}{\tau}.$$

Remark 3.1. From the analysis point of view, we remark that the $W_p^{m_0,\infty}$ assumption in (A) is necessary such that the exact solution $\Phi(t,x)$ of the Dirac equation remains in $(H_p^{m_0})^2$, which would give the spectral accuracy in space. In practice, as long as the solution of the Dirac equation (1.8) (or (1.1)) is well localized such that the error from the periodic truncation of potential term $W(t,x)\Phi(t,x)$ is negligible, the error estimates in the above theorem still hold.

In the rest of this paper, we will write the exact solution $\Phi(t,x)$ as $\Phi(t)$ for simplicity of notations. Define the error function $\mathbf{e}^n(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(\mathbf{e}^n)}_l e^{i\mu_l(x-a)} \in Y_M$ for $n \ge 0$ as

$$(3.3) \qquad \mathbf{e}^n(x) = P_M(\Phi(t_n))(x) - \Phi_I^n(x) = P_M(\Phi(t_n))(x) - I_M(\Phi^n)(x), \quad x \in \Omega.$$

Using the assumption (B), triangle inequality, and standard Fourier projection properties [38], we find

(3.4)

$$\|\Phi(t_n,\cdot)-\Phi_I^n(\cdot)\|_{L^2} \le \|\Phi(t_n,\cdot)-P_M(\Phi(t_n))(\cdot)\|_{L^2} + \|P_M(\Phi(t_n))(\cdot)-\Phi_I^n(\cdot)\|_{L^2}$$
$$\lesssim h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}, \quad 0 \le n \le \frac{T}{\tau}.$$

Hence, we only need estimate $\|\mathbf{e}^n(\cdot)\|_{L^2}$. To this purpose, the local truncation error will be studied as the first step. Since the MTI-FP method (2.30) is designed by the multiscale decomposition, the following properties of the decomposition (2.10)–(2.11) are essential for the error analysis.

From $t = t_n$ to t_{n+1}, let $\Psi_\pm^{k,n}(s,x)$ $(0 \le s \le \tau, k = 1,2)$ be the solutions of the systems (2.10) and (2.11), and the decomposition (2.5) holds as

$$(3.5) \qquad \begin{aligned} \Phi(t_n+s,x) &= e^{-is/\varepsilon^2}\left(\Psi_+^{1,n}(s,x) + \Psi_-^{1,n}(s,x)\right) \\ &\quad + e^{is/\varepsilon^2}\left(\Psi_+^{2,n}(s,x) + \Psi_-^{2,n}(s,x)\right), \quad x \in \Omega. \end{aligned}$$

Then the error $\mathbf{e}^{n+1}(x)$ $(n \ge 0)$ (3.3) can be decomposed as
(3.6)
$$\mathbf{e}^{n+1}(x) = e^{-i\tau/\varepsilon^2}\left(\mathbf{z}_+^{1,n+1}(x) + \mathbf{z}_-^{1,n+1}(x)\right) + e^{i\tau/\varepsilon^2}\left(\mathbf{z}_+^{2,n+1}(x) + \mathbf{z}_-^{2,n+1}(x)\right), \quad x \in \Omega,$$

with

$$
\begin{aligned}
\mathbf{z}_{\pm}^{k,n+1}(x) &= \sum_{l=-M/2}^{M/2-1} (\widetilde{\mathbf{z}_{\pm}^{k,n+1}})_l e^{i\mu_l(x-a)} \\
&= P_M(\Psi_{\pm}^{k,n}(\tau))(x) - I_M\left(\Psi_{\pm}^{k,n+1}\right)(x), \quad k=1,2.
\end{aligned}
\tag{3.7}
$$

By the same arguments in [12], we can establish the regularity results.

LEMMA 3.2. *Under the assumptions* (A) *and* (B), *the exact solutions* $\Psi_{\pm}^{k,n}(s,x)$ $(0 \le s \le \tau, 0 \le n \le \frac{T}{\tau} - 1)$ *with* $k=1,2$ *of the systems* (2.10) *and* (2.11) *satisfy*

$$
\|\Psi_{\pm}^{k,n}\|_{L^\infty([0,\tau];(H_p^{m_0})^2)} \lesssim 1,
$$

$$
\|\partial_{ss}\Psi_{+}^{1,n}\|_{L^\infty([0,\tau];(H_p^{m_4})^2)} + \|\partial_{ss}\Psi_{-}^{2,n}\|_{L^\infty([0,\tau];(H_p^{m_4})^2)} \lesssim 1,
\tag{3.8}
$$

$$
\|\partial_s\Psi_{\pm}^{k,n}\|_{L^\infty([0,\tau];(H_p^{m_2})^2)} \lesssim 1,
$$

$$
\|\partial_{ss}\Psi_{+}^{2,n}\|_{L^\infty([0,\tau];(H_p^{m_4})^2)} + \|\partial_{ss}\Psi_{-}^{1,n}\|_{L^\infty([0,\tau];(H_p^{m_4})^2)} \lesssim \frac{1}{\varepsilon^2},
\tag{3.9}
$$

$$
\|\Psi_{+}^{2,n}\|_{L^\infty([0,\tau];(H_p^{m_1})^2)} + \|\Psi_{-}^{1,n}\|_{L^\infty([0,\tau];(H_p^{m_1})^2)} \lesssim \varepsilon^2,
$$

$$
m_l = m_0 - l, \quad l = 1,2,4.
\tag{3.10}
$$

Proof. Noticing the properties of the projectors $\Pi_{\pm}$ and the assumption (B), we get that the initial data $\Psi_{\pm}^n(0,x) \in (H_p^{m_0})^2$, $\partial_s\Psi_{\pm}^n(0,x) \in H_p^{m_0-2}$ with uniform bounds. The estimates for $\Psi_{\pm}^{k,n}$ and $\partial_s\Psi_{\pm}^{k,n}$ have been derived in [12], where one only needs to replace the whole space Fourier transform with the Fourier series on a torus. Thus, the proof is omitted here for brevity. It remains to estimate $\partial_{ss}\Psi_{\pm}^{k,n}$. Here, we show the case $k=1$, while the case $k=2$ is quite similar and the detail is omitted here for brevity. Differentiating (2.10) with respect to s, we obtain for $\partial_{ss}\Psi_{\pm}^{1,n}(s)$,

$$
i\partial_{ss}\Psi_{+}^{1,n}(s) = -\left(I - \varepsilon^2\Delta\right)^{-1/2}\Delta\partial_s\Psi_{+}^{1,n}(s) + \Pi_+\partial_s\left(W\Psi_{+}^{1,n}(s,x) + W\Psi_{-}^{1,n}(s,x)\right),
$$

$$
i\partial_{ss}\Psi_{-}^{1,n}(s) = -\frac{\sqrt{I - \varepsilon^2\Delta}+1}{\varepsilon^2}\partial_s\Psi_{-}^{1,n}(s) + \Pi_+\partial_s\left(W\Psi_{+}^{1,n}(s,x) + W\Psi_{-}^{1,n}(s,x)\right).
$$

Since for any $\Phi \in (H_p^m)^2$ with $2 \le m \in \mathbb{N}$, we have
(3.11)

$$
\left\|\left(I - \varepsilon^2\Delta\right)^{-1/2}\Delta\Phi\right\|_{H_p^{m-2}} \le \|\Phi\|_{H_p^m}, \quad \left\|\frac{\sqrt{I - \varepsilon^2\Delta}+1}{\varepsilon^2}\Phi\right\|_{H_p^{m-1}} \lesssim \frac{1}{\varepsilon^2}\|\Phi\|_{H_p^m},
$$

which implies the bounds (3.9) for $\partial_{ss}\Psi_{\pm}^{1,n}(s)$, in view of the estimates for $\Psi_{\pm}^{1,n}$ and assumption (A). $\square$

Having Lemma 3.2 and the decomposition (3.6), we can define the local truncation error $\xi_{\pm}^{k,n}(x) = \sum_{l=-M/2}^{M/2-1} \widehat{(\xi_{\pm}^{k,n})}_l e^{i\mu_l(x-a)}$ ($x \in \Omega$, $k=1,2$, $n \ge 0$) for the MTI-FP

method (2.30)–(2.34) as

(3.12)
$$
\begin{cases}
\widehat{(\xi_-^{1,n})}_l = \left(\widehat{\Psi_-^{1,n}(\tau)}\right)_l + \overline{p_l^+(\tau)}\Pi_l^- \left(\widetilde{f_+^1(0)}\right)_l + \overline{q_l^+(\tau)}\Pi_l^- \left(\widetilde{g_+^1(0)}\right)_l \\
\qquad + \overline{q_l^+(\tau)}\Pi_l^- \left(\left(\widetilde{\dot{f}_+^1(0)}\right)_l + \left(\widetilde{\dot{f}_-^1(0)}\right)_l\right), \\[6pt]
\widehat{(\xi_+^{2,n})}_l = \left(\widehat{\Psi_+^{2,n}(\tau)}\right)_l - p_l^+(\tau)\Pi_l^+ \left(\widetilde{f_-^2(0)}\right)_l - q_l^+(\tau)\Pi_l^+ \left(\widetilde{g_-^2(0)}\right)_l \\
\qquad - q_l^+(\tau)\Pi_l^+ \left(\left(\widetilde{\dot{f}_+^2(0)}\right)_l + \left(\widetilde{\dot{f}_-^2(0)}\right)_l\right), \\[6pt]
\widehat{(\xi_+^{1,n})}_l = \left(\widehat{\Psi_+^{1,n}(\tau)}\right)_l - e^{-i\frac{\delta_l^- \tau}{\varepsilon^2}}\left(\widehat{\Psi_+^{1,n}(0)}\right)_l - p_l^-(\tau)\Pi_l^+ \left(\widetilde{f_+^1(0)}\right)_l - q_l^-(\tau)\Pi_l^+ \left(\widetilde{g_+^1(0)}\right)_l \\
\qquad - q_l^-(\tau)\Pi_l^+ \left(\left(\widetilde{\dot{f}_+^1(0)}\right)_l + \left(\widetilde{\dot{f}_-^{1,*}(\tau)}\right)_l\right), \qquad l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1, \\[6pt]
\widehat{(\xi_-^{2,n})}_l = \left(\widehat{\Psi_-^{2,n}(\tau)}\right)_l - e^{i\frac{\delta_l^- \tau}{\varepsilon^2}}\left(\widehat{\Psi_-^{2,n}(0)}\right)_l + \overline{p_l^-(\tau)}\Pi_l^- \left(\widetilde{f_-^2(0)}\right)_l + \overline{q_l^-(\tau)}\Pi_l^- \left(\widetilde{g_-^2(0)}\right)_l \\
\qquad + \overline{q_l^-(\tau)}\Pi_l^- \left(\left(\widetilde{\dot{f}_+^{2,*}(\tau)}\right)_l + \left(\widetilde{\dot{f}_-^2(0)}\right)_l\right),
\end{cases}
$$

where

(3.13)
$$
\begin{cases}
\left(\widehat{\Psi_+^{1,n}}\right)_l(0) = \Pi_l^+ (\widehat{\Phi(t_n)})_l, \quad \left(\widehat{\Psi_-^{1,n}}\right)_l(0) = \mathbf{0}, \quad \left(\widehat{\Psi_+^{2,n}}\right)_l(0) = \mathbf{0}, \\[4pt]
\qquad \left(\widehat{\Psi_-^{1,n}}\right)_l(0) = \Pi_l^- (\widehat{\Phi(t_n)})_l, \\[4pt]
f_\pm^k(s) = W(t_n + s)\Psi_\pm^{k,n}(s), \quad \dot{f}_\pm^k(s) = W(t_n)\dot{\Psi}_\pm^{k,n}(s), \quad g_\pm^k(s) = \partial_t W(t_n)\Psi_\pm^{k,n}(s), \\[4pt]
\dot{f}_-^{1,*}(s) = \frac{1}{s}W(t_n)\left(\Psi_-^{1,n+1}(s)\right), \quad \dot{f}_+^{2,*}(s) = \frac{1}{s}W(t_n)\left(\Psi_+^{2,n+1}(s)\right), \\[4pt]
\qquad 0 < s \le \tau, \quad k = 1, 2,
\end{cases}
$$

and

(3.14) $\quad \dot{\Psi}_\pm^{k,n}(s,x) = \displaystyle\sum_{l=-M/2}^{M/2} \left(\widetilde{\dot{\Psi}_+^{k,n}(s)}\right)_l e^{i\mu_l(x-a)}, \quad x \in \Omega, \quad 0 \le s \le \tau, \quad k = 1, 2,$

with

(3.15)
$$
\begin{cases}
\left(\widetilde{\dot{\Psi}_+^{1,n}(s)}\right)_l = -i\frac{2\sin(\mu_l^2\tau/2)}{\delta_l^+\tau}\left(\widetilde{\Psi_+^{1,n}(s)}\right)_l - i\,\Pi_l^+ \left(\widetilde{f_+^1(s)}\right)_l, \\[8pt]
\left(\widetilde{\dot{\Psi}_-^{1,n}(s)}\right)_l = -i\,\Pi_l^- \left(\widetilde{f_+^1(s)}\right)_l, \\[8pt]
\left(\widetilde{\dot{\Psi}_+^{2,n}(s)}\right)_l = -i\,\Pi_l^+ \left(\widetilde{f_-^2(s)}\right)_l, \\[8pt]
\left(\widetilde{\dot{\Psi}_-^{2,n}(s)}\right)_l = i\frac{2\sin(\mu_l^2\tau/2)}{\delta_l^+\tau}\left(\widetilde{\Psi_-^{2,n}(s)}\right)_l - i\,\Pi_l^- \left(\widetilde{f_-^2(s)}\right)_l.
\end{cases}
$$

We have the following estimates for the above local truncation error.

LEMMA 3.3. *Under the assumptions* (A) *and* (B), *there exist constants* $0 < \tau_0, h_0 \leq 1$ *sufficiently small and independent of* ε, *such that for any* $0 < \varepsilon \leq 1$, *when* $0 < \tau \leq \tau_0$ *and* $0 < h \leq h_0$, *we have the error estimates for the local truncation error* $\xi_{\pm}^{k,n} \in Y_M$ *in* (3.12),

(3.16)
$$\|\xi_{\pm}^{k,n}(\cdot)\|_{L^2} \lesssim \tau \left(h^{m_0} + \frac{\tau^2}{\varepsilon^2} \right),$$

$$\|\xi_{\pm}^{k,n}(\cdot)\|_{L^2} \lesssim \tau \left(h^{m_0} + \tau^2 + \varepsilon^2 \right), \quad 0 \leq n < \frac{T}{\tau}, \quad k = 1, 2.$$

Proof. We will only prove the estimates (3.16) for $k = 1$, as the proof for $k = 2$ is the same. Using the fact $\delta_l^+ \geq 1$ and the definitions of $p_l^{\pm}(\tau)$ and $q_l^{\pm}(\tau)$ ($l = -M/2, \ldots, M/2 - 1$), we have

(3.17) $$|p_l^{\pm}(\tau)| \lesssim \tau, \quad |q_l^{\pm}(\tau)| \lesssim \tau^2, \quad |p_l^+(\tau)| \lesssim \varepsilon^2, \quad |q_l^+(\tau)| \lesssim \tau\varepsilon^2.$$

Multiplying both sides of the equations in the system (2.10) by $e^{-i\mu_l(x-a)}$ and integrating over Ω, we easily recover the equations for $\widehat{(\Psi_{\pm}^{k,n})}_l(s)$, which are exactly the same as (2.19)–(2.20) with $\Psi_{\pm,M}^{k,n}$ being replaced by $\Psi_{\pm}^{k,n}$.

Following the derivation of the MTI-FP method, it is easy to find that the local truncation error comes from the approximations in the integrals (2.23), (2.25), (2.26), and (2.27). In particular, for $l = -M/2, \ldots, M/2 - 1$, we have

(3.18)
$$\widehat{(\xi_-^{1,n})}_l = -i \int_0^\tau e^{i\delta_l^+(\tau-s)/\varepsilon^2} \, \Pi_l^- \left(\widehat{(f_+^1(s))}_l + \widehat{(f_-^1(s))}_l \right) ds + \overline{p_l^+(\tau)} \, \Pi_l^- \, \widehat{(f_+^1(0))}_l$$
$$+ \overline{q_l^+(\tau)} \, \Pi_l^- \, \widehat{(g_+^1(0))}_l + \overline{q_l^+(\tau)} \, \Pi_l^- \left(\widehat{(\dot{f}_+^1(0))}_l + \widehat{(\dot{f}_-^1(0))}_l \right),$$

(3.19)
$$\widehat{(\xi_+^{1,n})}_l = -i \int_0^\tau e^{-i\delta_l^-(\tau-s)} \, \Pi_l^+ \left(\widehat{(f_+^1(s))}_l + \widehat{(f_-^1(s))}_l \right) ds - p_l^-(\tau) \, \Pi_l^+ \, \widehat{(f_+^1(0))}_l$$
$$- q_l^-(\tau) \, \Pi_l^+ \, \widehat{(g_+^1(0))}_l - q_l^-(\tau) \, \Pi_l^+ \left(\widehat{(\dot{f}_+^1(0))}_l + \widehat{(\dot{f}_-^{1,*}(\tau))}_l \right).$$

Type I *estimate.* Here we prove the first kind estimate in (3.16). Using the Taylor expansion, we have

(3.20) $$\widehat{(\xi_-^{1,n})}_l = -i \int_0^\tau \int_0^s \int_0^{s_1} e^{i\delta_l^+(\tau-s)/\varepsilon^2} \Pi_l^- \partial_{s_2 s_2} \left(\widehat{f_+^1(s_2) + f_-^1(s_2)} \right)_l ds_2 ds_1 ds$$
$$+ \widehat{(\eta_-^1)}_l,$$

(3.21) $$\widehat{(\xi_+^{1,n})}_l = -i \int_0^\tau \int_0^s \int_0^{s_1} e^{-i\delta_l^-(\tau-s)/\varepsilon^2} \Pi_l^+ \partial_{s_2 s_2} \left(\widehat{f_+^1(s_2) + f_-^1(s_2)} \right)_l ds_2 ds_1 ds$$
$$+ \widehat{(\eta_+^1)}_l,$$

where $\eta^1_{\pm}(x) = \sum_{l=-M/2}^{M/2-1} \widehat{(\eta^1_{\pm})}_l e^{i\mu_l(x-a)}$ with

$$\widehat{(\eta^1_-)}_l = \overline{p^+_l(\tau)} \, \Pi^-_l \left(-\widehat{(f^1_+(0))}_l + \widetilde{(f^1_+(0))}_l \right) + \overline{q^+_l(\tau)} \, \Pi^-_l \left(-\widehat{(g^1_+(0))}_l + \widetilde{(g^1_+(0))}_l \right)$$

$$+ \overline{q^+_l(\tau)} \, \Pi^-_l \left(-\widehat{(\dot{f}^{1,n}_+(0))}_l + \widetilde{(\dot{f}^1_+(0))}_l - \widehat{(\dot{f}^{1,n}_-(0))}_l + \widetilde{(\dot{f}^1_-(0))}_l \right),$$

$$\widehat{(\eta^1_+)}_l = p^-_l(\tau) \, \Pi^+_l \left(\widehat{(f^1_+(0))}_l - \widetilde{(f^1_+(0))}_l \right) + q^-_l(\tau) \, \Pi^+_l \left(\widehat{(g^1_+(0))}_l - \widetilde{(g^1_+(0))}_l \right)$$

$$+ q^-_l(\tau) \, \Pi^+_l \left(\widehat{(\dot{f}^{1,n}_+(0))}_l - \widetilde{(\dot{f}^1_+(0))}_l + \widehat{(\dot{f}^{1,n}_-(0))}_l - \widetilde{(\dot{f}^{1,*}_-(\tau))}_l \right).$$

Here $\dot{f}^{1,n}_{\pm}(s)$ is given in (2.29) with $\Psi^{k,n}_{\pm,M}$ being replaced by $\Psi^{k,n}_{\pm}$. Since $\|\Pi^{\pm}_l\|_{l^2} \leq 1$ $(l = -\frac{M}{2}, \ldots, \frac{M}{2}-1)$ with $\|Q\|_{l^2}$ being the standard l^2 norm of the matrix Q, using (3.17) and the triangle inequality, we obtain

$$|\widehat{(\eta^1_-)}_l| \lesssim \tau \left| \widehat{(f^1_+(0))}_l - \widetilde{(f^1_+(0))}_l \right| + \tau^2 \left| \widehat{(g^1_+(0))}_l - \widetilde{(g^1_+(0))}_l \right|$$

$$+ \tau^2 \left| \widehat{(\dot{f}^{1,n}_+(0))}_l - \widetilde{(\dot{f}^{1,n}_+(0))}_l \right| + \tau^2 \left| \widetilde{(\dot{f}^{1,n}_+(0))}_l - \widetilde{(\dot{f}^1_+(0))}_l \right|$$

$$+ \tau^2 \left| \widehat{(\dot{f}^{1,n}_+(0))}_l - \widetilde{(\dot{f}^{1,n}_+(0))}_l \right| + \tau^2 \left| \widetilde{(\dot{f}^{1,n}_-(0))}_l - \widetilde{(\dot{f}^1_-(0))}_l \right|.$$

From Parseval's theorem, we get

$$\|\eta^1_-(\cdot)\|^2_{L^2} \lesssim \tau^2 \|P_M(f^1_+(0)) - I_M(f^1_+(0))\|^2_{L^2} + \tau^4 \|P_M(g^1_+(0)) - I_M(g^1_+(0))\|^2_{L^2}$$

$$+ \tau^4 \|P_M(\dot{f}^{1,n}_+(0)) - I_M(\dot{f}^{1,n}_+(0))\|^2_{L^2} + \tau^4 \|P_M(\dot{f}^{1,n}_-(0)) - I_M(\dot{f}^{1,n}_-(0))\|^2_{L^2}$$

(3.22)
$$+ \tau^4 \|I_M(\dot{f}^{1,n}_+(0)) - I_M(\dot{f}^1_+(0))\|^2_{L^2} + \tau^4 \|I_M(\dot{f}^{1,n}_-(0)) - I_M(\dot{f}^1_-(0))\|^2_{L^2}.$$

Recalling assumptions (A) and (B) and noting Lemma 3.2, we have

$$f^1_{\pm}(0) = W(t_n)\Psi^{1,n}_{\pm}(0) \in H^{m_0}_p, \quad g^1_{\pm}(0) = \partial_t W(t_n)\Psi^{1,n}_{\pm}(0) \in H^{m_0}_p,$$

$$\dot{f}^{1,n}_{\pm}(0) = W(t_n)\partial_s \Psi^{1,n}_{\pm}(0) \in H^{m_0-2}_p.$$

Employing (2.15) and the Cauchy inequality, for $m_0 \geq 4$, we can bound $\|\eta^1_-(\cdot)\|_{L^2}$

from (3.22) as

$$\|\eta_-^1(\cdot)\|_{L^2} \lesssim \tau h^{m_0} + \tau^2(h^{m_0} + h^{m_0-2})$$

$$+ \tau^2 \sqrt{h \sum_{j=0}^{M-1} \left| W(t_n, x_j)(\partial_s \Psi_+^{1,n}(0, x_j) - \dot\Psi_+^{1,n}(0, x_j)) \right|^2}$$

$$+ \tau^2 \sqrt{h \sum_{j=0}^{M-1} \left| W(t_n, x_j)(\partial_s \Psi_-^{1,n}(0, x_j) - \dot\Psi_-^{1,n}(0, x_j)) \right|^2}$$

$$\lesssim \tau(h^{m_0} + \tau^2) + \tau^2 \Big(\|I_M(\partial_s \Psi_+^{1,n}(0)) - \dot\Psi_+^{1,n}(0)\|_{L^2}$$

$$+ \|I_M(\partial_s \Psi_-^{1,n}(0)) - \dot\Psi_-^{1,n}(0)\|_{L^2} \Big)$$

$$\lesssim \tau(h^{m_0} + \tau^2) + \tau^2 \Big(\|P_M(\partial_s \Psi_+^{1,n}(0)) - \dot\Psi_+^{1,n}(0)\|_{L^2}$$

(3.23) $$+ \|P_M(\partial_s \Psi_-^{1,n}(0)) - \dot\Psi_-^{1,n}(0)\|_{L^2} \Big).$$

Using (2.17), we get

$$\widehat{(\partial_s \Psi_+^{1,n})}_l(0) - \widehat{(\dot\Psi_+^{1,n})}_l(0)$$

$$= -i \frac{2\sin(\mu_l^2 \tau/2)}{\delta_l^+ \tau} \left(\widehat{(\Psi_+^{1,n}(0))}_l - \widehat{(\widetilde{\Psi_+^{1,n}}(0))}_l \right)$$

$$- i \Pi_l^+ \left(\widehat{(f_+^1(0))}_l - \widehat{(\widetilde{f_+^1(0)})}_l \right) - i \left(\delta_l^- - \frac{2\sin(\mu_l^2 \tau/2)}{\delta_l^+ \tau} \right) \widehat{(\widetilde{\Psi_+^{1,n}}(0))}_l,$$

$$\widehat{(\partial_s \Psi_-^{1,n})}_l(0) - \widehat{(\dot\Psi_-^{1,n})}_l(0)$$

$$= -i \Pi_l^- \left(\widehat{(f_+^1(0))}_l - \widehat{(\widetilde{f_+^1(0)})}_l \right),$$

and

(3.24) $$\|P_M(\partial_s \Psi_-^{1,n}(0)) - I_M(\dot\Psi_-^{1,n}(0))\|_{L^2} \leq \|P_M(f_+^1(0)) - I_M(f_+^1(0))\|_{L^2} \lesssim h^{m_0}.$$

Noting that $|\sin(s) - s| \leq \frac{s^2}{2}$ $(s \in \mathbb{R})$, we have

$$\left| \delta_l^- - \frac{2\sin(\mu_l^2 \tau/2)}{\delta_l^+ \tau} \right| = \frac{2}{\delta_l^+} \left| \frac{1}{2}\mu_l^2 - \frac{\sin(\mu_l^2 \tau/2)}{\tau} \right| \lesssim \mu_l^4 \tau, \quad l = -\frac{M}{2}, \dots, \frac{M}{2} - 1,$$

which leads to

$$\left| \widehat{(\partial_s \Psi_+^{1,n})}_l(0) - \widehat{(\dot\Psi_+^{1,n})}(0) \right| \lesssim \frac{1}{\tau} \left| \widehat{(\Psi_+^{1,n}(0))}_l - \widehat{(\widetilde{\Psi_+^{1,n}}(0))}_l \right|$$

$$+ \left| \widehat{(f_+^1(0))}_l - \widehat{(\widetilde{f_+^1(0)})}_l \right| + \tau\mu_l^4 \left| \widehat{(\widetilde{\Psi_+^{1,n}}(0))}_l \right|,$$

and for $m_0 \geq 4$,

$$\|P_M(\partial_s \Psi_+^{1,n}(0)) - I_M(\dot\Psi_+^{1,n}(0))\|_{L^2}$$

$$\lesssim \frac{1}{\tau}\|P_M(\Psi_+^{1,n}(0)) - I_M(\Psi_+^{1,n}(0))\|_{L^2} + \tau\|P_M(\Psi_+^{1,n}(0))\|_{H^4}$$

$$+ \|P_M(f_+^1(0)) - I_M(f_+^1(0))\|_{L^2}$$

$$\lesssim h^{m_0} + \tau + h^{m_0}/\tau.$$

Combining the above estimates with (3.23), we derive

$$(3.25) \qquad \|\eta_-^1(\cdot)\|_{L^2} \lesssim \tau(h^{m_0} + \tau^2) + \tau^2(h^{m_0} + h^{m_0}/\tau + \tau) \lesssim \tau(h^{m_0} + \tau^2).$$

By the same procedure, $\|\eta_+^1(\cdot)\|_{L^2}$ can be bounded as

$$\|\eta_+^1(\cdot)\|_{L^2} \lesssim \tau(\tau^2 + h^{m_0}) + \tau^2\|P_M(\partial_s \Psi_-^{1,n}(0)) - P_M(\Psi_-^{1,n}(\tau))/\tau\|_{L^2}$$
$$+ \tau\|P_M(\Psi_-^{1,n}(\tau)) - I_M(\Psi_-^{1,n}(\tau))\|_{L^2},$$

where the Taylor expansion gives

$$\partial_s \Psi_-^{1,n}(0) - \Psi_-^{1,n}(\tau)/\tau = -\tau \int_0^1 \int_0^s \partial_{ss} \Psi_-^{1,n}(s_1\tau) \, ds_1 ds.$$

Thus, recalling Lemma 3.2, we estimate

$$(3.26) \quad \|\eta_+^1(\cdot)\|_{L^2} \lesssim \tau(\tau^2 + h^{m_0}) + \tau^3\|\partial_{ss}\Psi_-^{1,n}(\cdot)\|_{L^\infty([0,\tau];(L^2)^2)} \lesssim \tau\left(h^{m_0} + \frac{\tau^2}{\varepsilon^2}\right).$$

Now, Lemma 3.2 together with (3.20), (3.21), (3.22), (3.23), and (3.26) implies

$$\|\xi_\pm^{1,n}(\cdot)\|_{L^2} \lesssim \tau^3 \Big(\|\partial_{ss}(W(t_n+s)\Psi_+^{1,n}(s))\|_{L^\infty([0,\tau];(L^2)^2)}$$
$$+ \|\partial_{ss}(W(t_n+s)\Psi_-^{1,n}(s))\|_{L^\infty([0,\tau];(L^2)^2)} \Big)$$
$$(3.27) \qquad + \|\eta_\pm^1(\cdot)\|_{L^2} \lesssim \tau\left(h^{m_0} + \frac{\tau^2}{\varepsilon^2}\right).$$

Type II *estimate.* Now we prove the second estimate for $\xi_\pm^{1,n}(x)$ in (3.16). Starting from (3.18) and (3.19), we treat the terms involving $f_+^1(s)$, $\dot{f}_+^1(s)$, and $g_+^1(s)$ in the same way as in proving (3.27), and leave the remaining terms as

$$\widehat{(\xi_-^{1,n})}_l = \widehat{(\zeta_-^1)}_l - i \int_0^\tau e^{i\delta_l^+(\tau-s)/\varepsilon^2} \, \Pi_l^- \, \widehat{(f_-^1(s))}_l \, ds + \overline{q_l^+(\tau)} \, \Pi_l^- \left(\widetilde{\dot{f}_-^1(0)}\right)_l,$$

$$\widehat{(\xi_+^{1,n})}_l = \widehat{(\zeta_+^1)}_l - i \int_0^\tau e^{-i\delta_l^-(\tau-s)} \, \Pi_l^+ \, \widehat{(f_-^1(s))}_l \, ds - q_l^-(\tau) \, \Pi_l^+ \left(\widetilde{\dot{f}_-^{1,*}(\tau)}\right)_l$$

with $\zeta_\pm^1(x) = \sum_{l=-M/2}^{M/2-1} \widehat{(\zeta_\pm^1)}_l e^{i\mu_l(x-a)}$ satisfying

$$\|\zeta_\pm^1(\cdot)\|_{L^2} \lesssim \tau(h^{m_0} + \tau^2).$$

The proof of the above decomposition and the corresponding error bounds for $\zeta_\pm^1(x)$ is identical to the proof of (3.27), and thus is omitted here for brevity. Applying the triangle inequality and (3.17), we have

$$\left|\widehat{(\xi_-^{1,n})}_l\right| \le \left|\widehat{(\zeta_-^1)}_l\right| + \int_0^\tau \left|\widehat{(f_-^1(s))}_l\right| \, ds + \tau\varepsilon^2 \left|\left(\widetilde{\dot{f}_-^1(0)}\right)_l\right|,$$

$$\left|\widehat{(\xi_+^{1,n})}_l\right| \le \left|\widehat{(\zeta_+^1)}_l\right| + \int_0^\tau \left|\widehat{(f_-^1(s))}_l\right| \, ds + \tau^2 \left|\left(\widetilde{\dot{f}_-^{1,*}(\tau)}\right)_l\right|.$$

Recalling Lemma 3.2 which implies $\|\Psi_-^{1,n}(s)\|_{H_p^{m_0-1}} \lesssim \varepsilon^2$, we know $\|f_-^1(s)\|_{L^2} \lesssim \varepsilon^2$, $\|\dot{f}_-^{1,*}(\tau)\|_{H_p^{m_0-1}} \lesssim \varepsilon^2/\tau$, and

$$\|\dot{f}_-^1(0)\|_{H^{m_0-1}} \lesssim \|\dot{\Psi}_-^{1,n}(0)\|_{H^{m_0-1}} \lesssim \|I_M(f_+^1(0))\|_{H^{m_0-1}} \lesssim \|f_+^1(0)\|_{H^{m_0}} \lesssim 1.$$

Hence, using Parseval's theorem, we get

$$\left\|\xi_-^{1,n}(\cdot)\right\|_{L^2} \lesssim \left\|\zeta_-^1(\cdot)\right\|_{L^2} + \tau \left\|f_-^1(\cdot)\right\|_{L^\infty([0,\tau];(L^2)^2)}$$
$$+ \tau\varepsilon^2 \left\|I_M(\dot{f}_-^1(0))\right\|_{L^2} \lesssim \tau(h^{m_0} + \tau^2 + \varepsilon^2),$$

$$\left\|\xi_+^{1,n}(\cdot)\right\|_{L^2} \lesssim \left\|\zeta_+^1(\cdot)\right\|_{L^2} + \tau \left\|f_-^1(\cdot)\right\|_{L^\infty([0,\tau];(L^2)^2)}$$
$$+ \tau^2 \left\|I_M(\dot{f}_-^{1,*}(\tau))\right\|_{L^2} \lesssim \tau(h^{m_0} + \tau^2 + \varepsilon^2),$$

which, together with (3.27), completes the proof for (3.16). $\qquad\square$

Subtracting (2.32) from (3.12), noting (2.33) and (3.13), we get error equations for $\mathbf{z}_\pm^{k,n+1}(x)$ $(k=1,2)$ in (3.7) as

(3.28)
$$\begin{cases} \widetilde{(\mathbf{z}_-^{1,n+1})}_l = \widetilde{(\mathcal{F}_-^{1,n})}_l + \widetilde{(\xi_-^{1,n})}_l, \quad \widetilde{(\mathbf{z}_+^{1,n+1})}_l = e^{-i\frac{\delta_l^- \tau}{\varepsilon^2}}\Pi_l^+\widetilde{(\mathbf{e}^n)}_l + \widetilde{(\mathcal{F}_+^{1,n})}_l + \widetilde{(\xi_+^{1,n})}_l, \\[2mm] \widetilde{(\mathbf{z}_+^{2,n+1})}_l = \widetilde{(\mathcal{F}_+^{2,n})}_l + \widetilde{(\xi_+^{2,n})}_l, \quad \widetilde{(\mathbf{z}_-^{2,n+1})}_l = e^{i\frac{\delta_l^- \tau}{\varepsilon^2}}\Pi_l^-\widetilde{(\mathbf{e}^n)}_l + \widetilde{(\mathcal{F}_-^{2,n})}_l + \widetilde{(\xi_-^{2,n})}_l, \end{cases}$$

where $\mathcal{F}_\pm^{k,n}(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(\mathcal{F}_\pm^{k,n})}_l e^{i\mu_l(x-a)}$ $(k=1,2)$ is given by

(3.29)
$$\begin{cases} \widetilde{\left(\mathcal{F}_-^{1,n}\right)}_l = -\overline{p_l^+(\tau)}\Pi_l^-\widetilde{\left(F_+^{1,n}\right)}_l - \overline{q_l^+(\tau)}\Pi_l^-\widetilde{\left(G_+^{1,n}\right)}_l \\[2mm] \qquad\quad - \overline{q_l^+(\tau)}\Pi_l^-\left(\widetilde{\left(\dot{F}_+^{1,n}\right)}_l + \widetilde{\left(\dot{F}_-^{1,n}\right)}_l\right), \\[3mm] \widetilde{\left(\mathcal{F}_+^{2,n}\right)}_l = p_l^+(\tau)\Pi_l^+\widetilde{\left(F_-^{2,n}\right)}_l + q_l^+(\tau)\Pi_l^+\widetilde{\left(G_-^{2,n}\right)}_l + q_l^+(\tau)\Pi_l^+\left(\widetilde{\left(\dot{F}_+^{2,n}\right)}_l + \widetilde{\left(\dot{F}_-^{2,n}\right)}_l\right), \\[3mm] \widetilde{\left(\mathcal{F}_+^{1,n}\right)}_l = p_l^-(\tau)\Pi_l^+\widetilde{\left(F_+^{1,n}\right)}_l + q_l^-(\tau)\Pi_l^+\widetilde{\left(G_+^{1,n}\right)}_l + q_l^-(\tau)\Pi_l^+\left(\widetilde{\left(\dot{F}_+^{1,n}\right)}_l + \widetilde{\left(\dot{F}_-^{1,*}\right)}_l\right), \\[3mm] \widetilde{\left(\mathcal{F}_-^{2,n}\right)}_l = -\overline{p_l^-(\tau)}\Pi_l^-\widetilde{\left(F_-^{2,n}\right)}_l - \overline{q_l^-(\tau)}\Pi_l^-\widetilde{\left(G_-^{2,n}\right)}_l \\[2mm] \qquad\quad - \overline{q_l^-(\tau)}\Pi_l^-\left(\widetilde{\left(\dot{F}_+^{2,*}\right)}_l + \widetilde{\left(\dot{F}_-^{2,n}\right)}_l\right) \end{cases}$$

with

$$\dot{F}_\pm^{k,n}(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(\dot{F}_\pm^{k,n})}_l e^{i\mu_l(x-a)} \in Y_M (k=1,2),$$

$$G_\pm^{k_\pm,n}(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(G_\pm^{k_\pm,n})}_l e^{i\mu_l(x-a)} \in Y_M,$$

$$F_\pm^{k_\pm,n}(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(F_\pm^{k_\pm,n})}_l e^{i\mu_l(x-a)} \in Y_M,$$

and

$$\dot{F}_\pm^{k_\mp,*}(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(\dot{F}_\pm^{k_\mp,*})}_l e^{i\mu_l(x-a)} \in Y_M (k_+=1, k_-=2)$$

defined as

(3.30)
$$\widetilde{(F_\pm^{k_\pm,n})}_l = \widetilde{(f_\pm^{k_\pm}(0))}_l - \widetilde{(f_\pm^{k_\pm})}_l, \quad \widetilde{(\dot{F}_\pm^{k,n})}_l = \widetilde{(\dot{f}_\pm^k(0))}_l - \widetilde{(\dot{f}_\pm^k)}_l,$$

$$\widetilde{(G_\pm^{k_\pm,n})}_l = \widetilde{(g_\pm^{k_\pm}(0))}_l - \widetilde{(g_\pm^{k_\pm})}_l,$$

$$\widetilde{(\dot{F}_-^{1,*})}_l = \widetilde{(f_-^{1,*}(0))}_l - \widetilde{(f_-^{1,*})}_l, \quad \widetilde{(\dot{F}_+^{2,*})}_l = \widetilde{(f_+^{2,*}(0))}_l - \widetilde{(f_+^{2,*})}_l,$$

$$l = -\frac{M}{2},\ldots,\frac{M}{2}-1.$$

For the electromagnetic error part $\mathcal{F}_\pm^{k,n}(x)$ ($k=1,2$, $0 \le n \le \frac{T}{\tau}-1$), we have the lemma below.

LEMMA 3.4. *Under the assumptions* (A) *and* (B), *the electromagnetic error part* $\mathcal{F}_\pm^{k,n}(x) \in Y_M$ ($k=1,2$, $0 \le n \le \frac{T}{\tau}-1$) *defined in* (3.29) *with* (3.30) *satisfies the bounds as*

$$\|F_\pm^{k_\pm,n}(\cdot)\|_{L^2} + \|G_\pm^{k_\pm,n}(\cdot)\|_{L^2} + \|\dot{F}_\pm^{3-k_\pm,n}(\cdot)\|_{L^2} \lesssim h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2},$$

$$k_+ = 1, \quad k_- = 2,$$

$$\|\dot{F}_+^{1,n}(\cdot)\|_{L^2} + \|\dot{F}_-^{2,n}(\cdot)\|_{L^2} \lesssim \frac{1}{\tau}(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}),$$

$$\|\dot{F}_\pm^{k_\mp,*}(\cdot)\|_{L^2} \lesssim \frac{1}{\tau}(h^{m_0} + \|\mathbf{z}_\pm^{k_\mp,n+1}(\cdot)\|_{L^2}),$$

which implies that

(3.31)
$$\|\mathcal{F}_\pm^{k_\pm,n}(\cdot)\|_{L^2} \lesssim \tau(h^{m_0} + \|\mathbf{z}_\mp^{k_\pm,n+1}(\cdot)\|_{L^2} + \|\mathbf{e}^n(\cdot)\|_{L^2}),$$

$$\|\mathcal{F}_\pm^{k_\mp,n}(\cdot)\|_{L^2} \lesssim \tau(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}).$$

Proof. Recalling assumptions (A) and (B), Lemma 3.2, (3.30), (3.13), (3.15), (2.33), and (2.34) and applying Parseval's theorem, we have

$$\|F_+^{1,n}(\cdot)\|_{L^2}^2 \le \|I_M(f_+^1(0)) - I_M(f_+^1)\|_{L^2}^2 = h \sum_{j=0}^{M-1} \left| W(t_n,x_j)(\Psi_+^{1,n}(0,x_j) - \Psi_{+,j}^1) \right|^2$$

$$\lesssim h \sum_{j=0}^{M-1} \left| \Psi_+^{1,n}(0,x_j) - \Psi_{+,j}^1 \right|^2 \lesssim h^{2m_0} + \|P_M(\Phi(t_n)) - I_M(\Phi^n)\|_{L^2}^2$$

$$\lesssim h^{2m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}^2,$$

and similarly we have $\|F_-^{2,n}(\cdot)\|_{L^2} \lesssim h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}$. Using the same idea, we can obtain

$$\|G_+^{1,n}(\cdot)\|_{L^2} + \|G_-^{2,n}(\cdot)\|_{L^2} \lesssim h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}$$

and

$$\|F_-^{1,*}(\cdot)\|_{L^2} \lesssim \|I_M(f_-^{1,*}(\tau)) - I_M(f_-^{1,*})\|_{L^2} \lesssim \frac{1}{\tau}\|I_M(\Psi_-^{1,n}(\tau)) - I_M(\Psi_-^{1,n+1})\|_{L^2}$$

$$\lesssim \frac{1}{\tau}(h^{m_0} + \|\mathbf{z}_-^{1,n+1}(\cdot)\|_{L^2}),$$

$$\|F_+^{2,*}(\cdot)\|_{L^2} \lesssim \|I_M(f_+^{2,*}(\tau)) - I_M(f_+^{2,*})\|_{L^2} \lesssim \frac{1}{\tau}(h^{m_0} + \|\mathbf{z}_+^{2,n+1}(\cdot)\|_{L^2}).$$

It remains to estimate $\|\dot{F}_\pm^{k,n}(\cdot)\|_{L^2}$. Again, in the same spirit as the above arguments, we arrive at

$$(3.32) \qquad \|\dot{F}_\pm^{k,n}(\cdot)\|_{L^2} \lesssim \|I_M(\dot{\Psi}_\pm^{k,n}(0)) - I_M(\dot{\Psi}_\pm^k)\|_{L^2}.$$

Comparing (3.15) with (2.34), noticing the properties of $\delta_l^\pm$, and the arguments in the above proof, we find

$$\|I_M(\dot{\Psi}_-^{1,n}(0)) - I_M(\dot{\Psi}_-^1)\|_{L^2} \lesssim \|I_M(f_+^1(0)) - I_M(f_+^1)\|_{L^2} \lesssim h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2},$$

$$\|I_M(\dot{\Psi}_+^{2,n}(0)) - I_M(\dot{\Psi}_+^2)\|_{L^2} \lesssim \|I_M(f_-^2(0)) - I_M(f_-^2)\|_{L^2} \lesssim h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2},$$

$$\|I_M(\dot{\Psi}_+^{1,n}(0)) - I_M(\dot{\Psi}_+^1)\|_{L^2} \lesssim \frac{1}{\tau}\|I_M(\Psi_+^{1,n}(0)) - I_M(\Psi_+^1)\|_{L^2}$$

$$+ \|I_M(f_+^1(0)) - I_M(f_+^1)\|_{L^2}$$

$$\lesssim \frac{1}{\tau}(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}) + h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2},$$

$$\|I_M(\dot{\Psi}_-^{2,n}(0)) - I_M(\dot{\Psi}_-^2)\|_{L^2} \lesssim \frac{1}{\tau}\|I_M(\Psi_-^{2,n}(0)) - I_M(\Psi_-^2)\|_{L^2}$$

$$+ \|I_M(f_-^2(0)) - I_M(f_-^2)\|_{L^2}$$

$$\lesssim \frac{1}{\tau}(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}) + h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2},$$

which implies the bounds for $\|\dot{F}_\pm^{k,n}(\cdot)\|_{L^2}$ in view of (3.32). Combining all the above results, recalling (3.30), and properties of the coefficients $p_l^\pm(\tau)$ and $q_l^\pm(\tau)$ in (3.17), we conclude that (3.31) holds. $\qquad\square$

Now, we are ready to prove the main theorem.

Proof of Theorem 3.1. Recalling the decomposition (3.6) and the error equation (3.28), we get

$$\widetilde{(\mathbf{e}^{n+1})}_l = e^{-i\tau/\varepsilon^2}\left(\widetilde{\left(\mathbf{z}_+^{1,n+1}\right)}_l + \widetilde{\left(\mathbf{z}_-^{1,n+1}\right)}_l\right) + e^{i\tau/\varepsilon^2}\left(\widetilde{\left(\mathbf{z}_+^{2,n+1}\right)}_l + \widetilde{\left(\mathbf{z}_-^{2,n+1}\right)}_l\right)$$

$$(3.33) \qquad = \left(e^{-i\delta_l/\varepsilon^2}\Pi_l^+\widetilde{(\mathbf{e}^n)}_l + e^{i\delta_l/\varepsilon^2}\Pi_l^-\widetilde{(\mathbf{e}^n)}_l\right) + \widetilde{(\chi^n)}_l$$

with $\chi^n(x) = \sum_{l=-M/2}^{M/2-1} \widetilde{(\chi^n)}_l e^{i\mu_l(x-a)} \in Y_M$ given as

$$(3.34) \qquad \widetilde{(\chi^n)}_l = \sum_{k=1,2} e^{i\tau(2k-3)/\varepsilon^2}\left(\widetilde{(\mathcal{F}_+^{k,n})}_l + \widetilde{(\mathcal{F}_-^{k,n})}_l + \widetilde{(\xi_+^{k,n})}_l + \widetilde{(\xi_-^{k,n})}_l\right),$$

$$l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1.$$

In particular $\|\mathbf{e}^0(\cdot)\|_{L^2} = \|P_M(\Phi_0) - I_M(\Phi_0)\|_{L^2} \lesssim h^{m_0}$.

Taking the l^2 norm of the vectors in the error equation (3.28), then summing together for $l = -M/2, \ldots, M/2 - 1$, utilizing Lemma 3.4 and Parseval's theorem, there holds for $0 < \tau \leq 1$,

$$\|\mathbf{z}_-^{1,n+1}(\cdot)\|_{L^2} \lesssim \|\mathcal{F}_-^{1,n}(\cdot)\|_{L^2} + \|\xi_-^{1,n}\|_{L^2} \lesssim \tau(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}) + \|\xi_-^{1,n}(\cdot)\|_{L^2},$$

$$\|\mathbf{z}_+^{2,n+1}(\cdot)\|_{L^2} \lesssim \|\mathcal{F}_+^{2,n}(\cdot)\|_{L^2} + \|\xi_+^{2,n}\|_{L^2} \lesssim \tau(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}) + \|\xi_+^{2,n}(\cdot)\|_{L^2},$$

and so

$$\|\chi^n(\cdot)\| \lesssim \tau(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2} + \|\mathbf{z}_-^{1,n+1}(\cdot)\|_{L^2} + \|\mathbf{z}_+^{2,n+1}(\cdot)\|_{L^2})$$
$$+ \sum_{k=1,2} \left(\|\xi_+^{k,n}(\cdot)\|_{L^2} + \|\xi_-^{k,n}(\cdot)\|_{L^2} \right)$$
$$\lesssim \tau(h^{m_0} + \|\mathbf{e}^n(\cdot)\|_{L^2}) + \sum_{k=1,2} \left(\|\xi_+^{k,n}(\cdot)\|_{L^2} + \|\xi_-^{k,n}(\cdot)\|_{L^2} \right).$$

From Lemma 3.3 on the local truncation error $\xi_\pm^{k,n}(x)$, we get

$$(3.35) \qquad \|\chi^n(\cdot)\|_{L^2} \lesssim \tau\|\mathbf{e}^n(\cdot)\|_{L^2} + \tau(h^{m_0} + \tau^2/\varepsilon^2), \quad 0 \leq n \leq \frac{T}{\tau} - 1,$$

$$(3.36) \qquad \|\chi^n(\cdot)\|_{L^2} \lesssim \tau\|\mathbf{e}^n(\cdot)\|_{L^2} + \tau(h^{m_0} + \tau^2 + \varepsilon^2), \quad 0 \leq n \leq \frac{T}{\tau} - 1.$$

Now, taking the l^2 norm of the vectors on both sides of (3.33), making use of the orthogonal properties of $\Pi_l^\pm$, where $\left| e^{i\theta_1}\Pi_l^+\mathbf{v} + e^{i\theta_2}\Pi_l^-\mathbf{v} \right|^2 = |\Pi_l^+\mathbf{v}|^2 + |\Pi_l^-\mathbf{v}|^2 = |\mathbf{v}|^2$ for all $\mathbf{v} \in \mathbb{C}^2, \theta_1, \theta_2 \in \mathbb{R}$, we can have

$$\left| \widetilde{(\mathbf{e}^{n+1})}_l \right|^2 = \left| e^{-i\delta_l/\varepsilon^2}\Pi_l^+ \widetilde{(\mathbf{e}^n)}_l + e^{i\delta_l/\varepsilon^2}\Pi_l^- \widetilde{(\mathbf{e}^n)}_l \right|^2 + |\widetilde{(\chi^n)}_l|^2$$
$$+ 2\mathrm{Re}\left((e^{-i\delta_l/\varepsilon^2}\Pi_l^+ \widetilde{(\mathbf{e}^n)}_l + e^{i\delta_l/\varepsilon^2}\Pi_l^- \widetilde{(\mathbf{e}^n)}_l)^* \widetilde{(\chi^n)}_l \right)$$
$$= |\widetilde{(\mathbf{e}^n)}_l|^2 + |\widetilde{(\chi^n)}_l|^2 + 2\mathrm{Re}\left((e^{-i\delta_l/\varepsilon^2}\Pi_l^+ \widetilde{(\mathbf{e}^n)}_l + e^{i\delta_l/\varepsilon^2}\Pi_l^- \widetilde{(\mathbf{e}^n)}_l)^* \widetilde{(\chi^n)}_l \right),$$

where $Re(c)$ denotes the real part of the complex number c. Applying the Cauchy inequality, we find

$$(3.37) \qquad \left| \widetilde{(\mathbf{e}^{n+1})}_l \right|^2 - \left| \widetilde{(\mathbf{e}^n)}_l \right|^2 \lesssim \tau|\widetilde{(\mathbf{e}^n)}_l|^2 + \frac{1}{\tau}|\widetilde{(\chi^n)}_l|^2, \quad l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1.$$

Summing (3.37) together for $l = -\frac{M}{2}, \ldots, \frac{M}{2} - 1$ and using Parseval's theorem, we obtain

$$(3.38) \qquad \|\mathbf{e}^{n+1}(\cdot)\|_{L^2}^2 - \|\mathbf{e}^n(\cdot)\|_{L^2}^2 \lesssim \tau\|\mathbf{e}^n(\cdot)\|_{L^2}^2 + \frac{1}{\tau}\|\chi^n(\cdot)\|_{L^2}^2, \quad 0 \leq n \leq \frac{T}{\tau} - 1.$$

Summing (3.38) for indices $0, 1, \ldots, n$ and using (3.35)–(3.36), we derive that for $0 \leq n \leq \frac{T}{\tau} - 1$,

$$(3.39) \qquad \|\mathbf{e}^{n+1}(\cdot)\|_{L^2}^2 - \|\mathbf{e}^0(\cdot)\|_{L^2}^2 \lesssim \tau \sum_{m=1}^n \|\mathbf{e}^m(\cdot)\|_{L^2}^2 + n\tau\left(h^{m_0} + \frac{\tau^2}{\varepsilon^2} \right)^2,$$

$$(3.40) \qquad \|\mathbf{e}^{n+1}(\cdot)\|_{L^2}^2 - \|\mathbf{e}^0(\cdot)\|_{L^2}^2 \lesssim \tau \sum_{m=1}^n \|\mathbf{e}^m(\cdot)\|_{L^2}^2 + n\tau(h^{m_0} + \tau^2 + \varepsilon^2)^2.$$

TABLE 1
Spatial error analysis of the MTI-FP method for the Dirac equation in 1D.

$e_{h,\tau}(2.0)$	$h_0 = 2$	$h_0/2$	$h_0/2^2$	$h_0/2^3$	$h_0/2^4$
$\varepsilon_0 = 1$	1.65	5.74E-1	7.08E-2	7.00E-5	8.53E-10
$\varepsilon_0/2$	1.39	3.45E-1	7.06E-3	6.67E-6	9.71E-10
$\varepsilon_0/2^2$	1.18	1.67E-1	1.71E-3	1.43E-6	1.10E-9
$\varepsilon_0/2^3$	1.13	1.46E-1	1.03E-3	6.77E-7	9.16E-10
$\varepsilon_0/2^4$	1.15	1.45E-1	8.52E-4	4.86E-7	1.33E-9

Since $\|\mathbf{e}^0(\cdot)\|_{L^2} \lesssim h^{m_0}$, Gronwall's inequality will lead to the conclusion when $0 < \tau \leq \tau_0 \leq 1$ and $0 < h \leq h_0 \leq 1$ sufficiently small,

(3.41)

$$\|\mathbf{e}^{n+1}(\cdot)\|_{L^2}^2 \lesssim \left(h^{m_0} + \frac{\tau^2}{\varepsilon^2} \right)^2, \quad \|\mathbf{e}^{n+1}(\cdot)\|_{L^2}^2 \lesssim (h^{m_0} + \tau^2 + \varepsilon^2)^2, \quad 0 \leq n \leq \frac{T}{\tau} - 1.$$

In view of (3.4), we conclude that (3.1) holds.   □

4. Numerical results. In this section, we present numerical tests on our MTI-FP method (2.30) and apply it to study numerically the convergence of the Dirac equation (1.8) to its limiting Schrödinger model (1.7) and the second order limiting Pauli-type equation model. To this purpose, we choose the electromagnetic potentials in the Dirac equation (1.8) with $d = 1$ as

(4.1) $$A_1(t,x) = \frac{(x+1)^2}{1+x^2}, \qquad V(t,x) = \frac{1-x}{1+x^2}, \qquad x \in \mathbb{R}, \quad t \geq 0,$$

and the initial data $\Phi_0(x) = (\phi_1(x), \phi_2(x))^T$ as

(4.2) $$\phi_1(x) = e^{-x^2/2}, \quad \phi_2(x) = e^{-(x-1)^2/2}, \qquad x \in \mathbb{R}.$$

4.1. Accuracy test. The Dirac equation (1.8) with $d = 1$, (4.1), and (4.2) is solved numerically on an interval $\Omega = (-16, 16)$, i.e., $a = -16$ and $b = 16$, with periodic boundary conditions. The "reference" solution $\Phi(t,x) = (\phi_1(t,x), \phi_2(t,x))^T$ is obtained numerically by using the TSFP method [7] with a very fine mesh size and a small time step, e.g., $h_e = 1/32$ and $\tau_e = 10^{-7}$. Denote $\Phi_{h,\tau}^n$ as the numerical solution obtained by the MTI-FP method with mesh size h and time step τ. In order to quantify the convergence, we introduce

$$e_{h,\tau}(t_n) = \|\Phi^n - \Phi(t_n, \cdot)\|_{l^2} = \sqrt{h \sum_{j=0}^{M-1} |\Phi_j^n - \Phi(t_n, x_j)|^2}.$$

Table 1 displays the spatial errors $e_{h,\tau}(t = 2.0)$ with $\tau = 10^{-4}$ for varying ε and h; and Table 2 lists the temporal errors $e_{h,\tau}(t = 2.0)$ with $h = 1/32$ for varying ε and τ. From Tables 1–2 and additional numerical results not shown here for brevity, we can draw the following conclusions for the MTI-FP method:

(i) For the spatial discretization error, the MTI-FP method is uniformly spectral accurate for all $\varepsilon \in (0, 1]$ (cf. Table 1).

(ii) For the temporal discretization error, the MTI-FP method is uniformly convergent with a linear rate at $O(\tau)$ for $\varepsilon \in (0, 1]$. For any fixed $0 < \varepsilon \leq 1$, when the time step τ is small, i.e., $\tau \lesssim \varepsilon^2$ (upper triangle part of Table 2), second order

TABLE 2

Temporal error analysis of the MTI-FP method for the Dirac equation in 1D. The convergence order is calculated as $\log_2(e_{h,2\tau}/e_{h,\tau})$.

$e_{h,\tau}(2.0)$	$\tau_0 = 0.1$	$\tau_0/2$	$\tau_0/2^2$	$\tau_0/2^3$	$\tau_0/2^4$	$\tau_0/2^5$	$\tau_0/2^6$	$\tau_0/2^7$	$\tau_0/2^8$
$\varepsilon_0 = 1$	3.69E-2	9.18E-3	2.29E-3	5.73E-4	1.43E-4	3.58E-5	8.94E-6	2.24E-6	5.59E-7
Order	-	2.01	2.00	2.00	2.00	2.00	2.00	2.00	2.00
$\varepsilon_0/2$	5.98E-2	1.51E-2	3.77E-3	9.45E-4	2.36E-4	5.90E-5	1.48E-5	3.69E-6	9.23E-7
Order	-	1.99	2.00	2.00	2.00	2.00	2.00	2.00	2.00
$\varepsilon_0/2^2$	<u>1.91E-1</u>	5.67E-2	1.47E-2	3.74E-3	9.39E-4	2.35E-4	5.87E-5	1.47E-5	3.67E-6
Order	-	1.75	1.95	1.97	1.99	2.00	2.00	2.00	2.00
$\varepsilon_0/2^3$	7.12E-2	<u>7.17E-2</u>	<u>4.90E-2</u>	1.48E-2	3.89E-3	9.84E-4	2.47E-4	6.17E-5	1.54E-5
Order	-	-0.01	0.55	1.73	1.93	1.98	1.99	2.00	2.00
$\varepsilon_0/2^4$	1.78E-2	1.76E-2	1.80E-2	<u>1.82E-2</u>	<u>1.22E-2</u>	3.73E-3	9.79E-4	2.48E-4	6.21E-5
Order	-	0.02	-0.03	-0.02	0.58	1.71	1.93	1.98	2.00
$\varepsilon_0/2^5$	7.11E-3	3.30E-3	4.07E-3	4.43E-3	4.53E-3	<u>4.56E-3</u>	<u>3.05E-3</u>	9.32E-4	2.45E-4
Order	-	1.11	-0.30	-0.12	-0.03	-0.01	0.58	1.71	1.93
$\varepsilon_0/2^6$	7.19E-3	1.99E-3	5.10E-4	6.84E-4	1.02E-3	1.10E-3	1.13E-3	<u>1.14E-3</u>	<u>7.61E-4</u>
Order	-	1.85	1.96	-0.42	-0.58	-0.11	-0.04	-0.01	0.58
$\varepsilon_0/2^7$	7.07E-3	1.70E-3	4.49E-4	2.61E-4	8.81E-5	1.68E-4	2.54E-4	2.77E-4	2.83E-4
Order	-	2.06	1.92	0.78	1.57	-0.93	-0.60	-0.13	-0.03
$\varepsilon_0/2^8$	7.05E-3	1.71E-3	4.23E-4	1.09E-4	3.91E-5	6.01E-5	2.18E-5	4.20E-5	6.35E-5
Order	-	2.04	2.02	1.96	1.48	-0.62	1.46	-0.95	-0.60
$\varepsilon_0/2^9$	7.05E-3	1.71E-3	4.22E-4	1.05E-4	2.61E-5	1.37E-5	6.98E-6	1.50E-5	5.48E-6
Order	-	2.04	2.03	2.01	2.01	0.93	0.97	-1.10	1.45

convergence at $O(\tau^2)$ is achieved; when ε is small, i.e., $\varepsilon \lesssim \tau$ (lower triangle part of Table 2), again second order convergence at $O(\tau^2)$ is achieved. However, near the diagonal part where $\tau \sim \varepsilon^2$ (cf. the underlined diagonal part of Table 2), degeneracy of the convergence rate and the uniform linear convergence rate for the temporal error are observed. In particular, the underlined errors in Table 2 degenerate in the parameter regime $\tau \sim \varepsilon^2$, which has been predicted by our error estimates (3.1).

4.2. Convergence of the Dirac equation (1.8) to its limiting models. Similarly to (1.7), when $\varepsilon \to 0^+$, the solution of the Dirac equation (1.8) satisfies the first order limiting model

$$(4.3) \qquad \Phi(t,x) = e^{-it/\varepsilon^2}\phi_e\,\mathbf{e_1} + e^{it/\varepsilon^2}\phi_p\,\mathbf{e_2} + O(\varepsilon), \quad \mathbf{e_1} = (1,0)^T, \quad \mathbf{e_2} = (0,1)^T,$$

where $\phi_e := \phi_e(t,x) \in \mathbb{C}$ and $\phi_p := \phi_p(t,x) \in \mathbb{C}$ satisfies the Schrödinger equations [12, 33, 37, 40],
(4.4)

$$i\partial_t\phi_e = \left[-\frac{1}{2}\Delta + V(t,x)\right]\phi_e, \qquad i\partial_t\phi_p = \left[\frac{1}{2}\Delta + V(t,x)\right]\phi_p, \quad x \in \mathbb{R}, \quad t > 0,$$

and the initial data are determined through (4.3).

To obtain a second order limiting Pauli-type equation model, we formally drop the small components in (2.10)–(2.11) to get

$$(4.5) \qquad \qquad \Phi(t,x) = e^{-it/\varepsilon^2}\Psi_e(t,x) + e^{it/\varepsilon^2}\Psi_p(t,x) + O(\varepsilon^2),$$

where $\Psi_e := \Psi_e(t,x) \in \mathbb{C}^2$ and $\Psi_p := \Psi_p(t,x) \in \mathbb{C}^2$ satisfy the Pauli-type equations
(4.6)

$$i\partial_t\Psi_e = \frac{1}{\varepsilon^2}\mathcal{D}\Psi_e + \Pi_+\left(W\Psi_e\right), \quad i\partial_t\Psi_p = -\frac{1}{\varepsilon^2}\mathcal{D}\Psi_p + \Pi_-\left(W\Psi_p\right), \quad x \in \mathbb{R}, \quad t > 0,$$
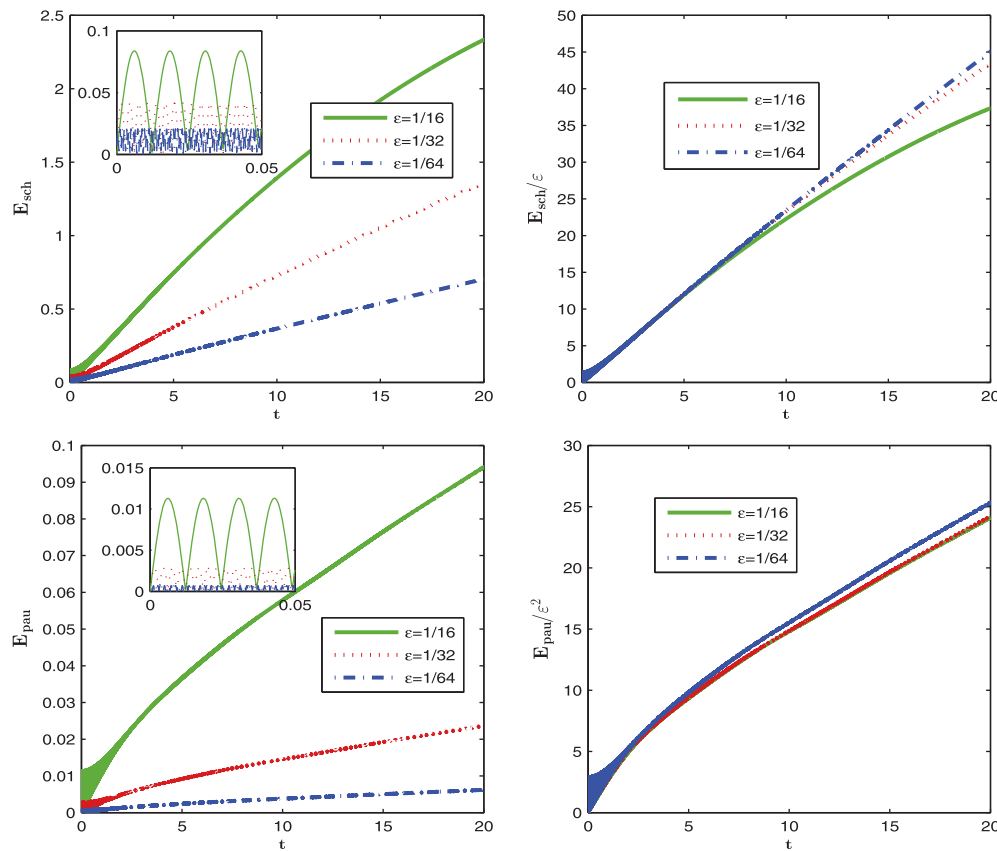
FIG. 1. *Error functions $E_{\mathrm{sch}}(t)$ and $E_{\mathrm{pau}}(t)$ for different ε.*

with $\mathcal{D} = \sqrt{I - \varepsilon^2 \Delta} - I$ and initial value

$$(4.7) \qquad \Psi_e(0, x) = \Pi_+ \Phi(0, x), \quad \Psi_p(0, x) = \Pi_- \Phi(0, x), \qquad x \in \mathbb{R}.$$

To investigate numerically convergence rates of the above limiting models (4.3) and (4.5) to the Dirac equation, we solve numerically the Schrödinger equation (4.4) to obtain (ϕ_e, ϕ_p) and the Pauli-type equation (4.6) to get (Ψ_e, Ψ_p), by the TSFP method [5] and the EWI-FP method [7], respectively. The solution Φ of the Dirac equation (1.8) is computed by the MTI-FP method and we can study convergence rates of Dirac equation (1.8) to (4.3) and (4.5), respectively. All the computations are done on the bounded interval $\Omega = (-128, 128)$ with fine mesh $h = 1/16$ and time step $\tau = 10^{-4}$, which are sufficiently small such that the numerical error can be neglected. In order to quantify the convergence, we introduce the error functions

$$E_{\mathrm{sch}}(t) = \left\| \Phi(t, \cdot) - e^{-it/\varepsilon^2} \phi_e(t, \cdot) \mathbf{e_1} - e^{it/\varepsilon^2} \phi_p(t, \cdot) \mathbf{e_2} \right\|_{L^2},$$

$$E_{\mathrm{pau}}(t) = \left\| \Phi(t, \cdot) - e^{-it/\varepsilon^2} \Psi_e(t, \cdot) - e^{it/\varepsilon^2} \Psi_p(t, \cdot) \right\|_{L^2}, \quad t \geq 0.$$

Figure 1 depicts the evolution of the errors $E_{\mathrm{sch}}(t)$ and $E_{\mathrm{pau}}(t)$, and we can conclude that the limiting model of the Schrödinger equation (4.3) is linearly accurate at $O(\varepsilon)$, while the limiting model of the Pauli-type equation (4.5) is quadratically accurate at $O(\varepsilon^2)$. In particular, both the errors $E_{\mathrm{sch}}(t)$ and $E_{\mathrm{pau}}(t)$ are observed to grow linearly

in time, i.e.,

$$(4.8) \qquad E_{\text{sch}}(t) \leq (C_1 + C_2 t)\varepsilon, \qquad E_{\text{pau}}(t) \leq (C_3 + C_4 t)\varepsilon^2, \quad t \geq 0,$$

where C_1, C_2, C_3, and C_4 are positive constants independent of time $t \geq 0$ and $\varepsilon \in (0, 1]$. We find that (4.6) is the same second order approximate limiting model as the Pauli equation [31, 33] for the Dirac equation (1.8) in the nonrelativistic limit regime.

5. Conclusion. An MTI-FP method was proposed and rigorously analyzed for the Dirac equation involving a dimensionless parameter $\varepsilon \in (0, 1]$, which is inversely proportional to the speed of light. The main difficulty of the problem is that the solution oscillates highly with $O(\varepsilon^2)$ wavelength in time when $0 < \varepsilon \ll 1$. The key ideas in designing the MTI-FP method included a proper multiscale decomposition of the Dirac equation and the use of the Gautschi-type EWI in time discretization. Rigorous error analysis showed that the MTI-FP method is uniformly convergent in spatial discretization with spectral accuracy, and uniformly convergent in temporal discretization with linear order for $\varepsilon \in (0, 1]$, while the temporal accuracy is optimal with quadratic convergence rate when either $\varepsilon = O(1)$ or $\varepsilon \lesssim \tau$. This result significantly improves the error bounds of the existing numerical methods for the Dirac equation in the nonrelativistic limit regime. Numerical results confirmed the error estimates and suggested our error bounds are sharp and optimal. Convergence rates of the Dirac equation to its limiting first order Schrödinger equation model and second order Pauli-type equation model were observed numerically.

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